

NOAA Global Systems Laboratory

# Earth System Prediction: Observation Use and Analysis Development for Improved Forecasts

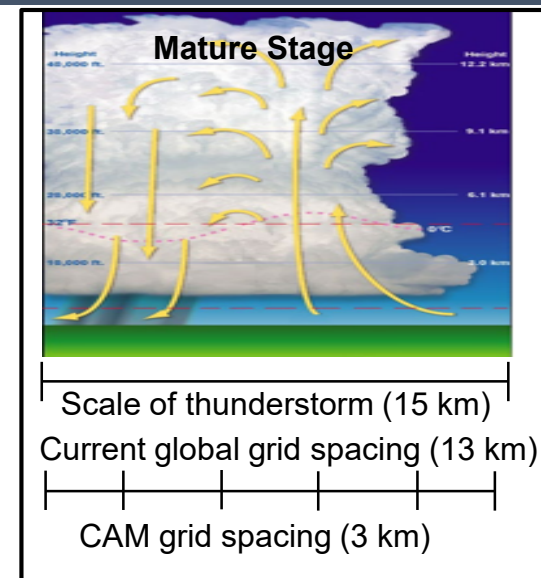
Curtis Alexander  
Chief, Assimilation and Verification Innovation Division



# Regional Data Assimilation and NWP

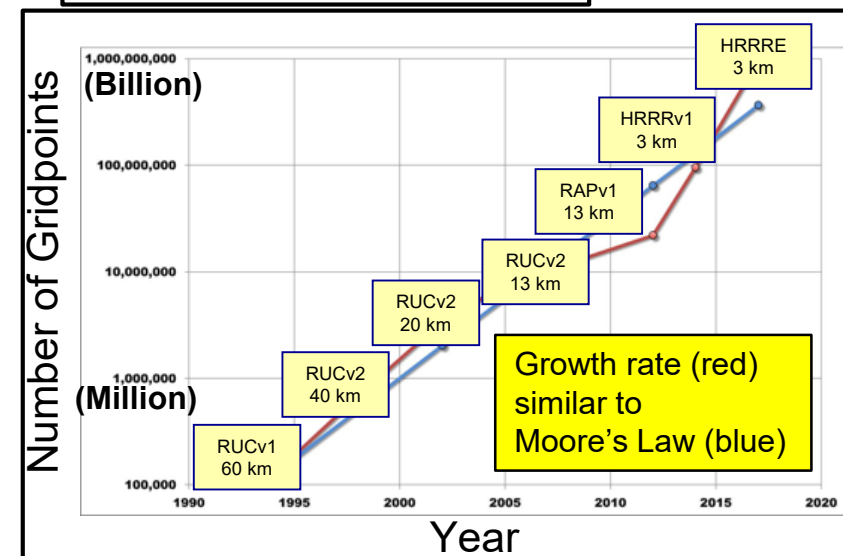
## Why Convection Allowing Models (CAMs)?

- Captures bulk properties of many hazardous convective weather systems (i.e. rotating updrafts)
- Permits more accurate forecasts of weather conditions in which such hazardous storms may occur
- Employed most often in regional or limited area modeling framework



## Why Limited Area Models (LAMs)?

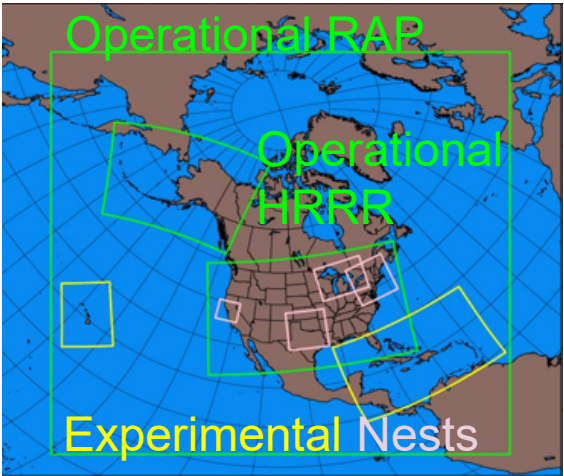
- Reduces the compute expense and complications associated with a global CAM
- Enables rapid data assimilation/cycling with lower low-latency forecasts than global models
- Emphasizes shorter-range (hours/days) prediction





# Regional Modeling Excellence in GSL

## Quality of Research



## Relevance to Communities

Aviation/Transportation Weather Hazard  
Tactical and Strategic Planning (0-8 hrs)  
FAA, Airlines, Aviators, NCAR, MIT/LL,



Severe Convective Weather  
Warn on Forecast (0-2 hrs)  
Severe Weather Watches/Discuss  
Severe Convective Outlooks (1-2 hrs)  
SPC, NSSL, NWS



Hydrology and Quantitative  
Flash Flood Watches/Meso  
National Water Model Forc  
Heavy Rain/Snow Outlooks  
WPC, OWP, GLERL, PSL,



Renewable Energy  
Wind and Solar Power Generation (0-18 hrs)  
Next Day Decision Support (24-48 hrs)  
Power Authorities, Energy Companies, A



Composition, Air Quality and Health  
Wildfire Smoke Concentrations (1-2 days)  
IMET, ARL, CSL, NWS



## Organizational Performance

From 2012-2020:  
Five RAP operational transitions  
Four HRRR operational transitions



With each transition:  
Added DA/model sophistication  
+  
Increasing R2O efficiency  
=  
**Doubling of R2O capacity every two years "GSL's Law"**

WRF, GSI, Gridpoint, JEDI, DTC, CCPP, plus

Community Software Contributions

Documentation  
User Guides

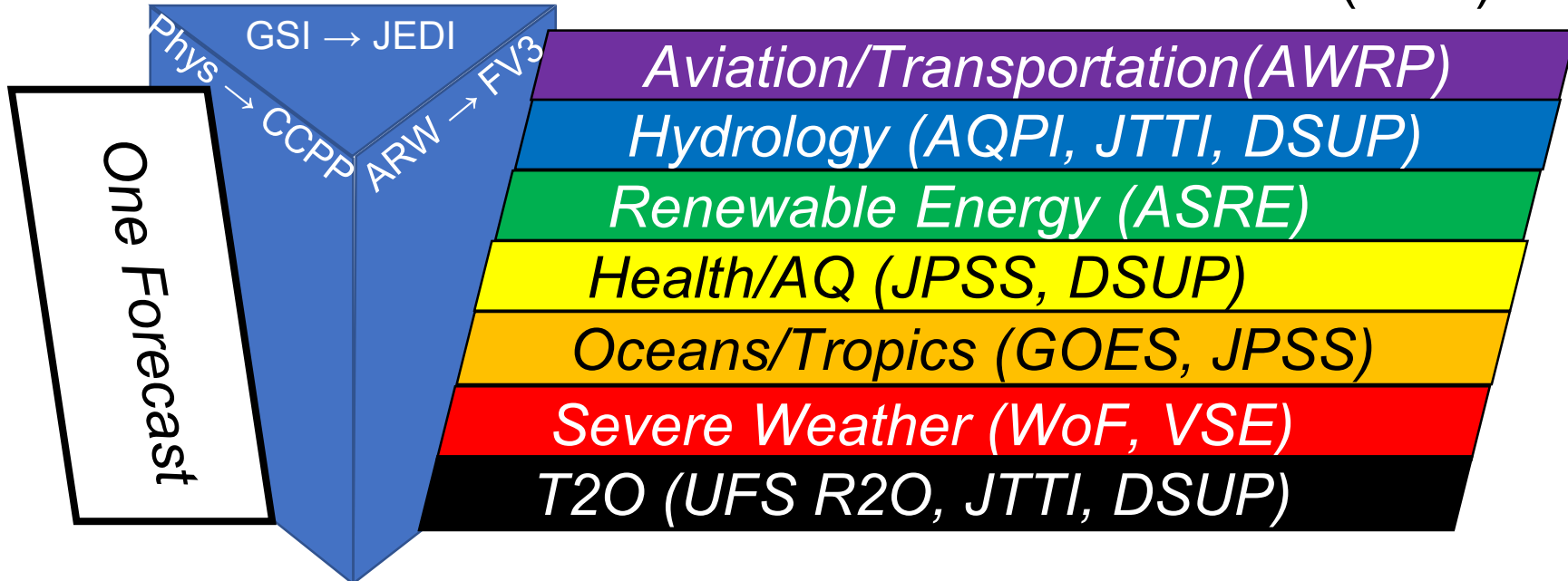
Forums

Tech Memos  
Manuscripts

# Doubling of DA staff to ~15 in last five years



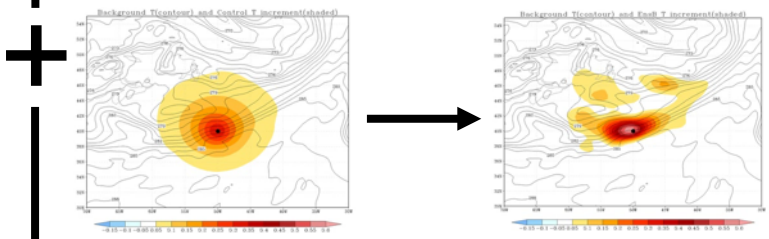
## World-Class RAP/HRRR → Next Generation RRFS (UFS)



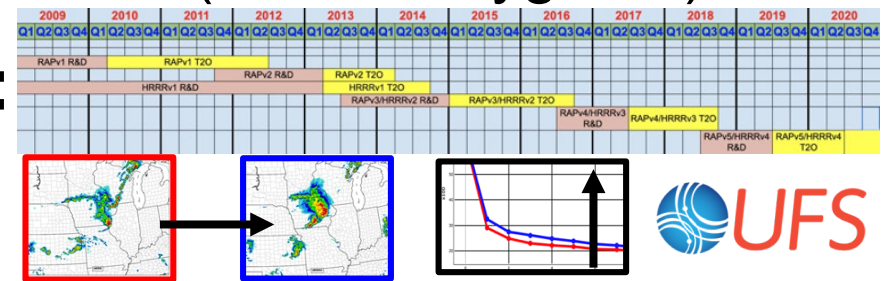
## Observations and Impacts (Eric James)



# Analysis Development (Terra Ladwig)



# Improved Weather Prediction (Steve Weygandt)





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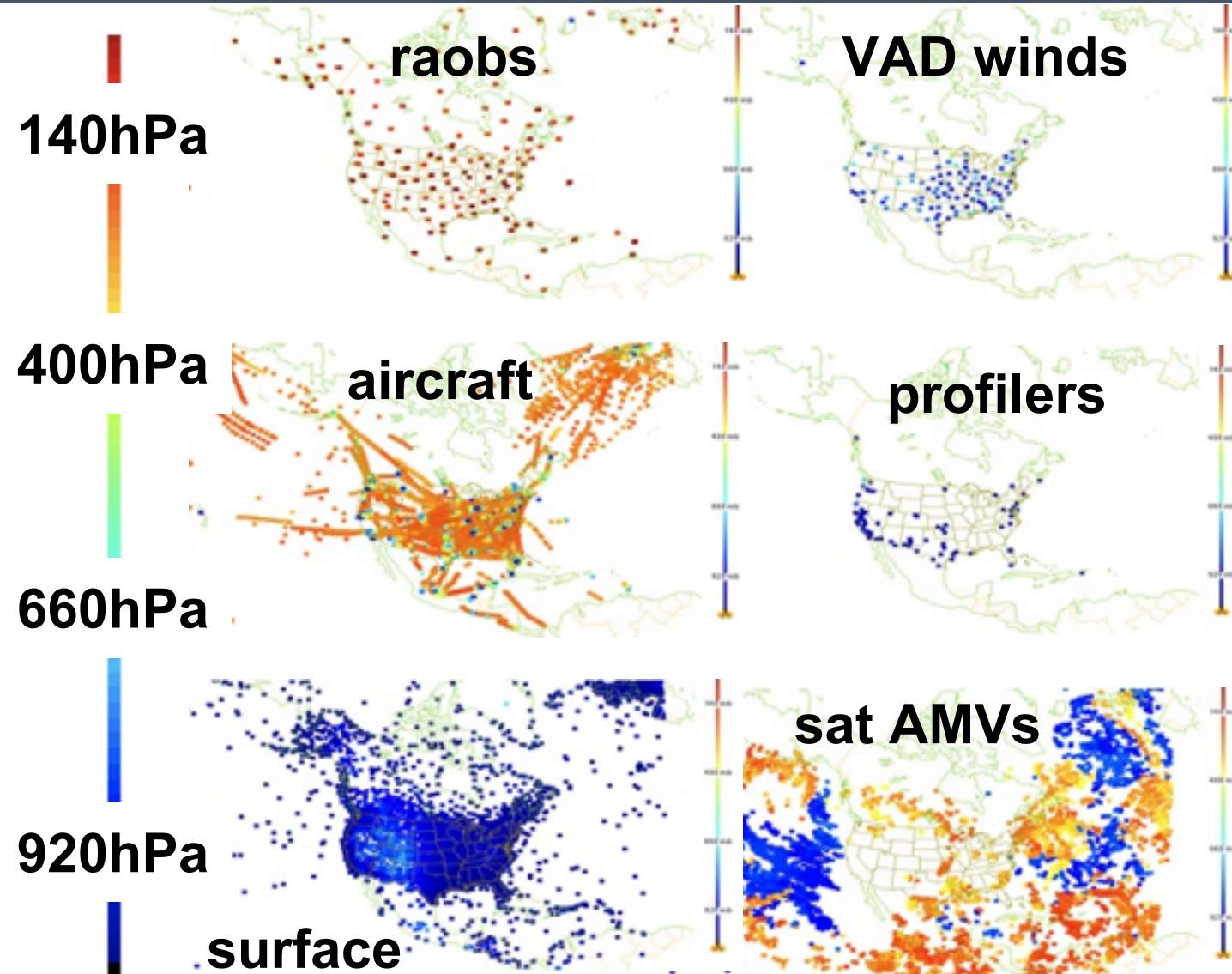
# Observations & Their Impacts

Eric James  
Senior Research Associate, Assimilation and  
Verification Innovation Division



# Weather Observations for Analysis/Fcst Systems

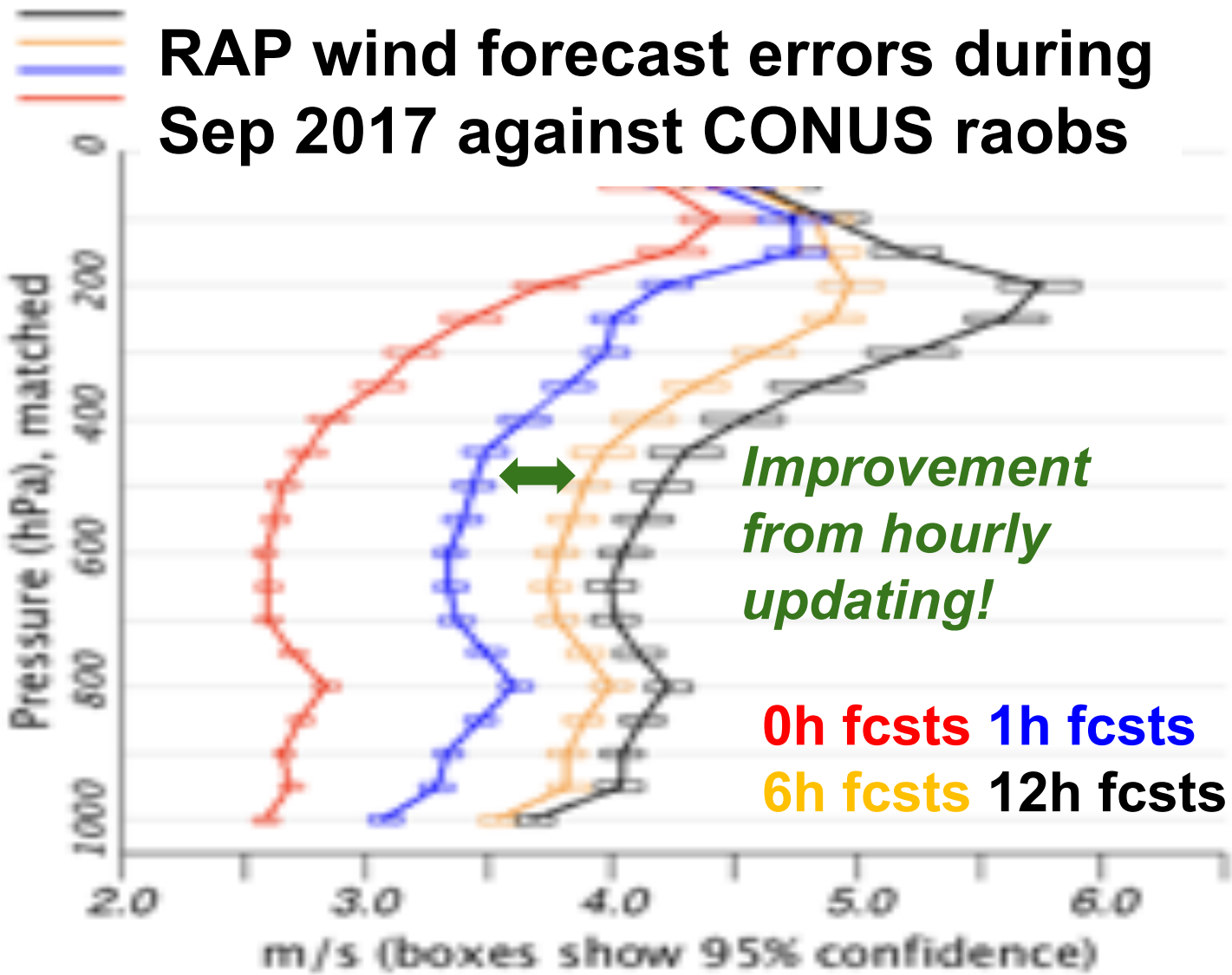
- Effective rapidly-updating analysis/forecast systems ***depend critically*** upon optimal use of observations:
  - **Verification**
  - **Data Assimilation**
- Quantifying obs impacts ensures an **effective data assimilation system**, and **identifies opportunities for expansion**.



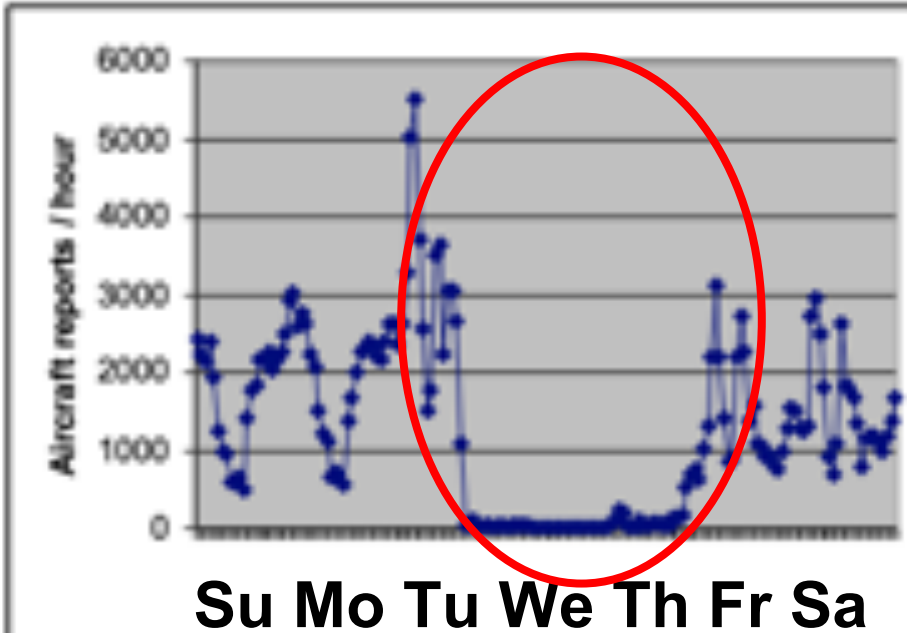


# Why run rapidly updating NWP models?

- Frequent updates allow:
- Use of the **most recent weather observations** for improved forecasts.
  - **Updated information** for decision makers.



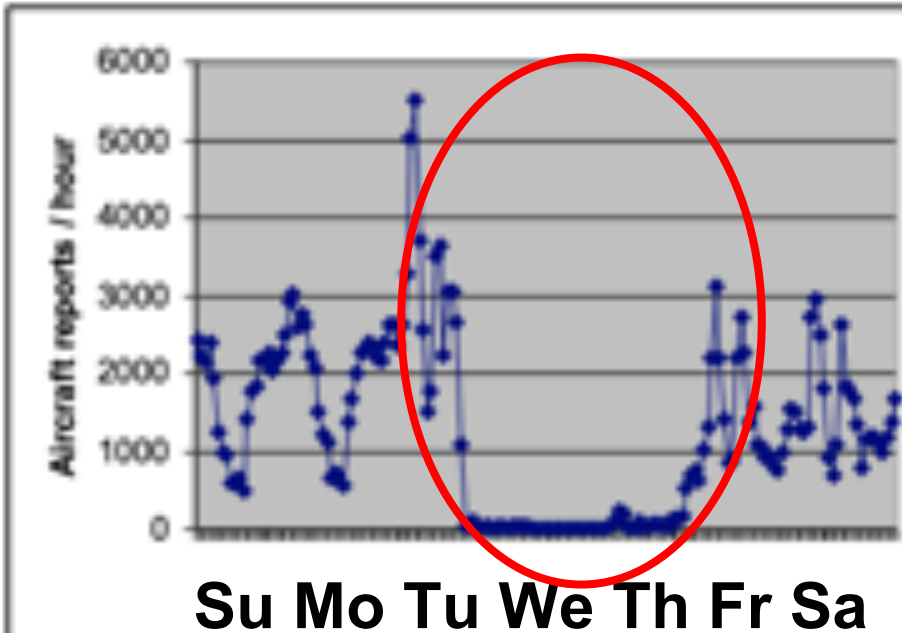
# Why run rapidly updating NWP models?



**Aircraft Reports 9-16 Sep 2001**



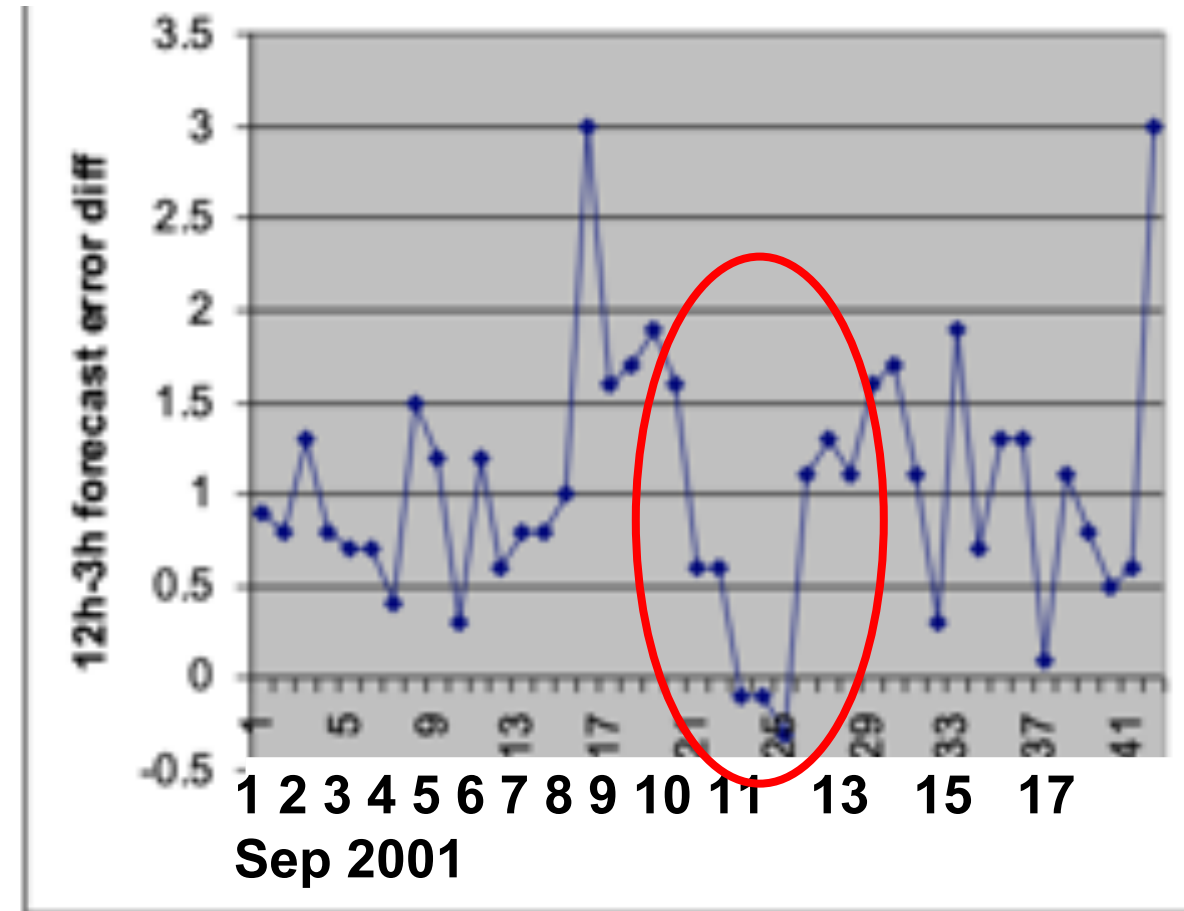
# Why run rapidly updating NWP models?



**Aircraft Reports 9-16 Sep 2001**

Without any aircraft reports, **3h forecast errors are just as large as 12h forecast errors!**

**250hPa wind forecasts: difference in error: 12h fcst err - 3h fcst err**



# Monitoring and Quality Control of Observations

Observation quality monitoring carried out via realtime **O minus B** statistics (**O**bservation minus **B**ackground)

Differences over time are used to construct dynamic lists for use or rejection of observations, for surface mesonet and aircraft observations.

**Example:** temperature bias (bs\_T) exceeding 2.0 K warrants rejection:

aircraft  
tail  
Number

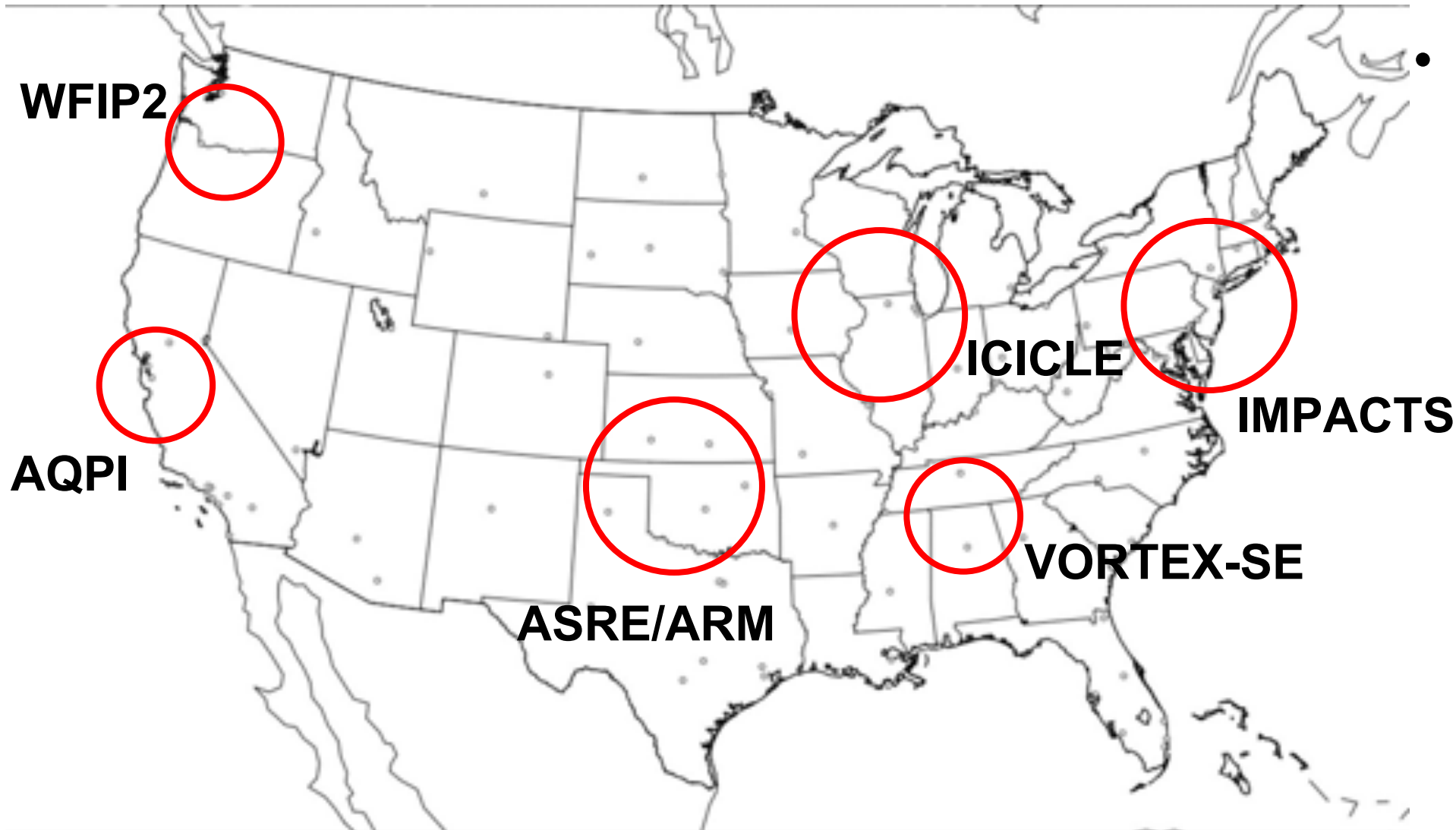
temp  
bias

**temperature bias > threshold:  
reject obs from this aircraft.**

tail	errors	FSL	MDCRS	N	bs_T	Std_T	bs_S	Std_S	bs_D	std_D	std_W	rms_W	bs_RH
0000250	T - -	7103	-----	1032	0.8	0.8	0.2	2.8	1	9	2.0	4.0	0.0
0000251	T - -	6752	-----	211	0.6	1.4	2.0	4.5	-5	9	3.2	5.6	0.0
CNJCA117	T W -	7124	-----	245	8.0	14.4	-0.6	11.9	4	27	16.1	17.7	0.0



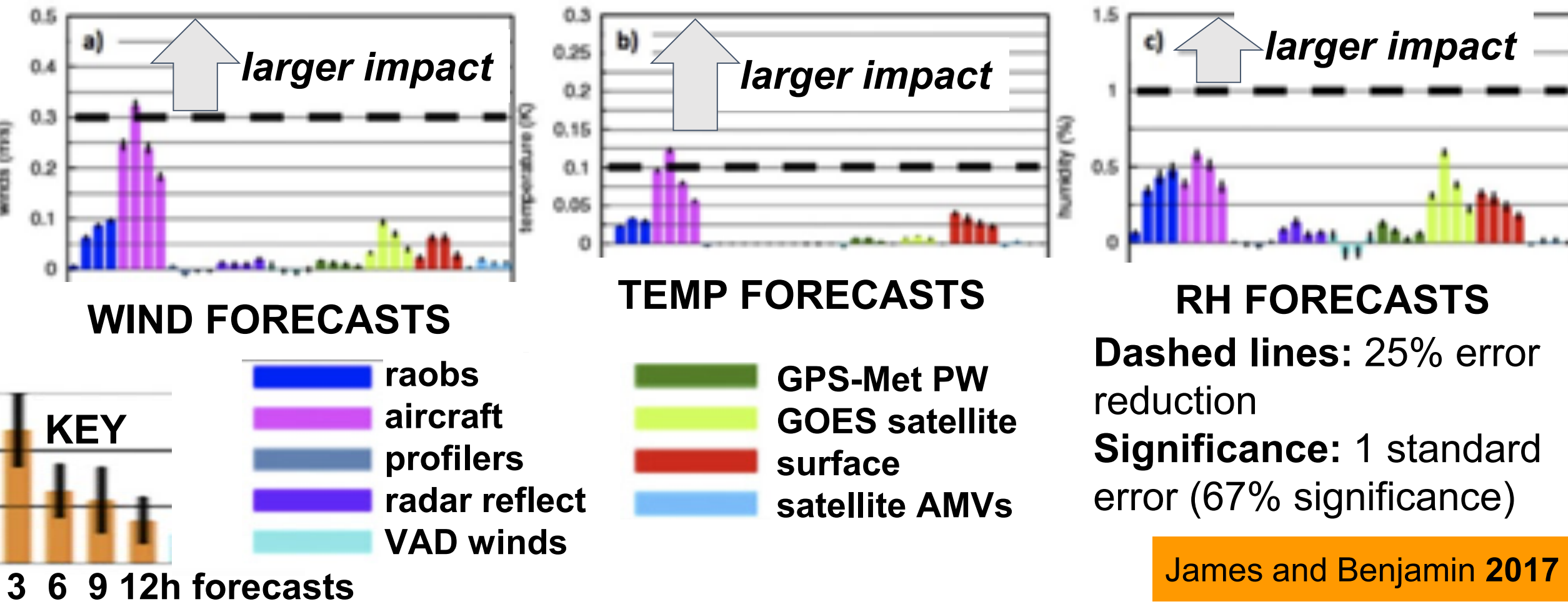
# Collaborating for Targeted Observations



- Field campaigns since 2015 have focused on:
  - Wind Energy
  - Landfalling Pacific storms
  - Aviation hazards (convection / icing)
  - Severe storms

# Observing System Experiments (OSEs)

- RAPv3** data denial experiments carried out for three multi-season 10-day periods. Verification against North American raobs.

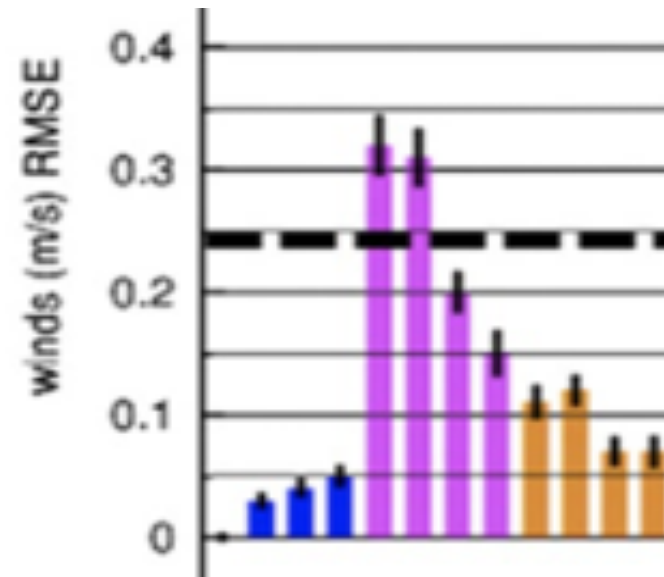


James and Benjamin 2017



# What was the impact of the COVID-19 decrease in aircraft observations?

- We designed a set of partial data denial experiments which exclude ~80% of aircraft observations, similar to what happened at the peak of COVID-19 lockdowns.



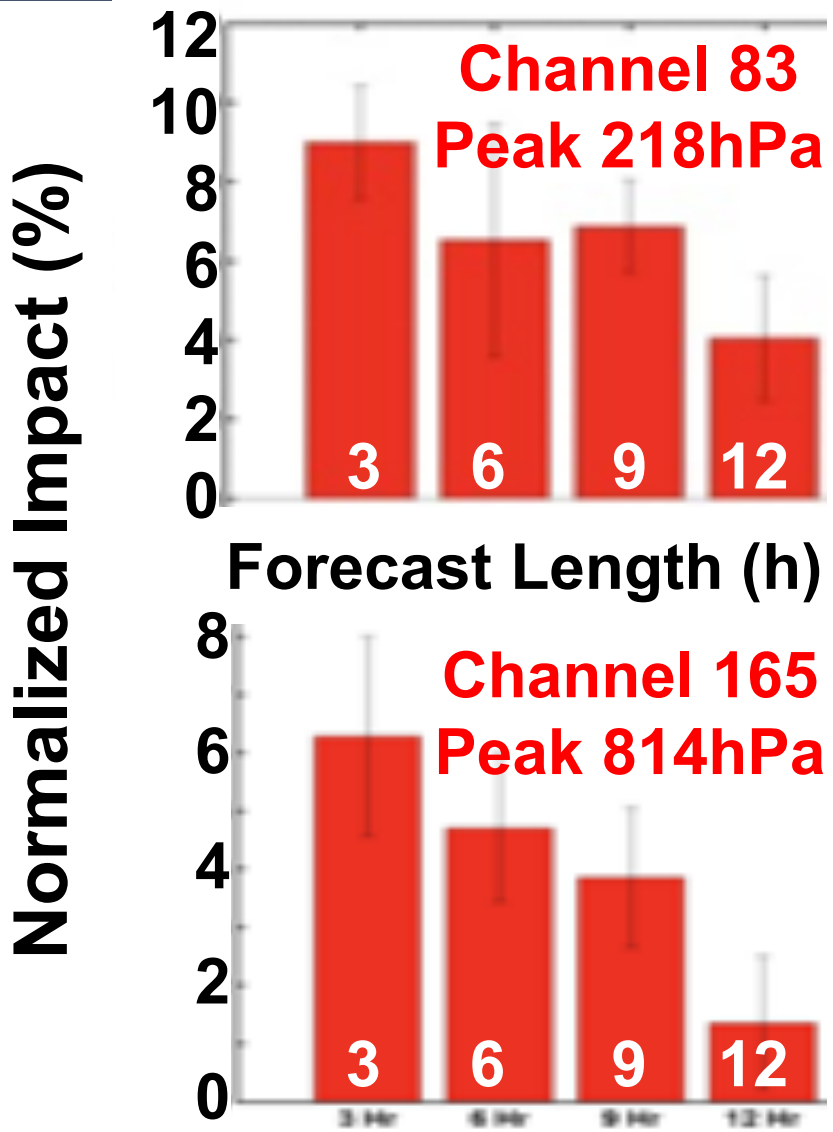
- Wind forecast impacts for winter (Feb 2019)

raobs  
all aircraft  
80% of aircraft obs

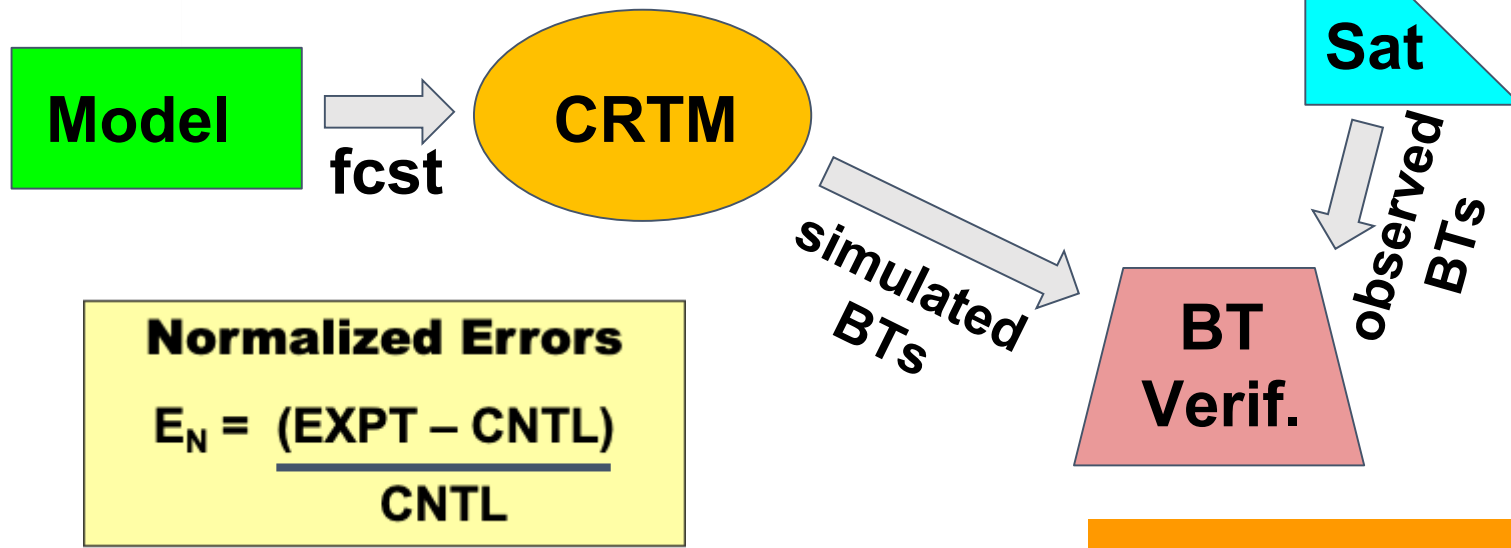
- Across seasons / variables, we find **30% higher errors** without any aircraft obs and **12% higher errors** without 80% of aircraft obs

James et al 2020

# Satellite Radiance Direct Broadcast Impacts in RAP



- 4-week retro test 1-28 Sep 2017.
- Hourly verification of brightness temp forecasts against **CrIS** observations reveals significant radiance impacts in RAP for short range forecasts.

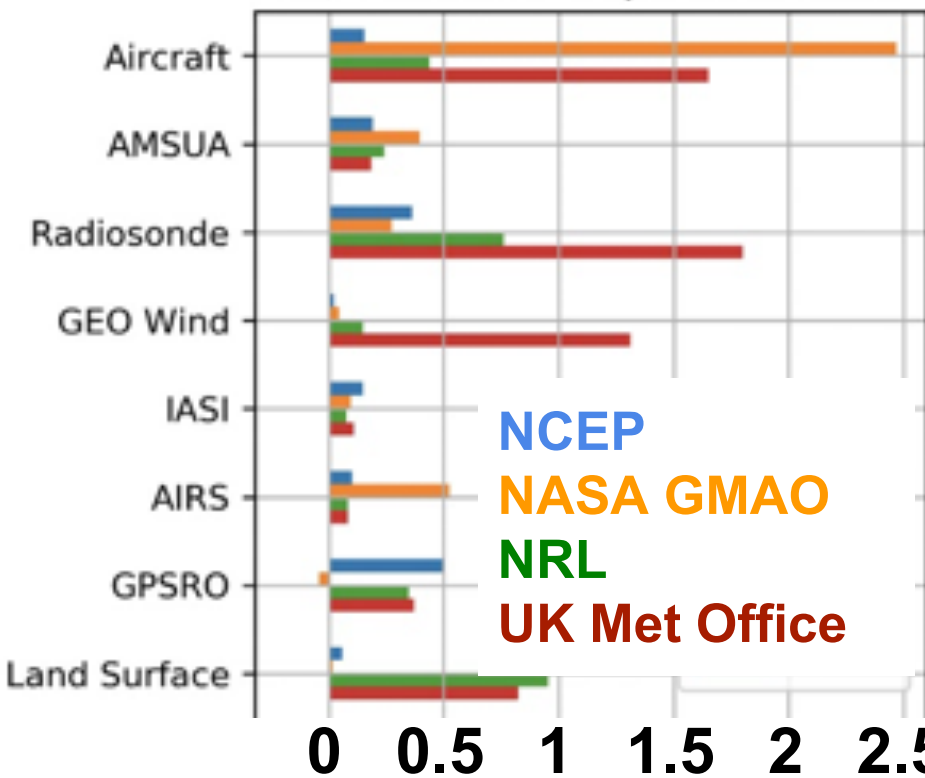


Lin et al 2017a,b

# Ensemble Forecast Sensitivity to Obs Impacts

- **OSEs (data denial experiments)** quantify impact of one selected change upon forecast skill; **FSOI/EFSDI** quantifies impact of all assimilated obs.
- GSL was involved with EFSDI installation in GFSv16, implemented on 22

(d) Fractional Impact Per Obs.



- Mar 2021 (collaboration with **NCEP/EMC**)
- Other ongoing collaborations:
  - GSL represents a unique perspective with rapidly-updating regional obs impacts at **WMO Impacts Workshop** every 4 years.
  - Preliminary coordination meetings with other NOAA labs within the **NOAA QOSAP program** (recommendation C4.6).



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# Development of Analysis Systems

Terra Ladwig,  
Chief, Data Assimilation Branch & Research Scientist  
Assimilation and Verification Innovation Division

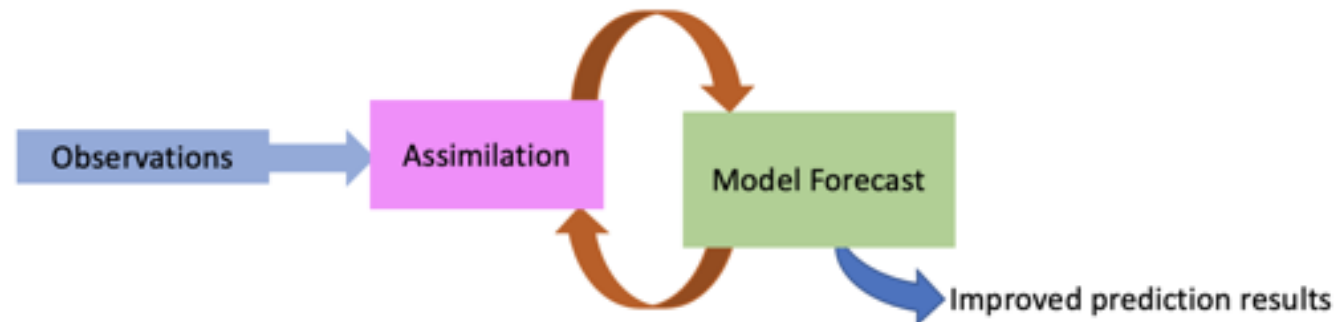


Global Systems Laboratory



# GSL is a World Leader in Rapidly Updating DA

- Short-term hazardous weather forecasts rely heavily on the quality of the initial conditions.
- In order to capture high temporal observations rapid assimilation is required, as demonstrated in the previous sub-section.
- GSL has developed and deployed two types of data assimilation techniques.
  - a. Advanced data assimilation, specifically 3DEnVAR and EnSRF
  - b. Non-conventional state or tendency specification



Recommendation C4.1

# 3D Ensemble-Variational Data Assimilation

- 3DEnVar uses both static and ensemble background error covariances.

Analysis increments for a single observation near a frontal boundary highlight how ensemble flow dependent background error covariances can improve the distribution of observational information.

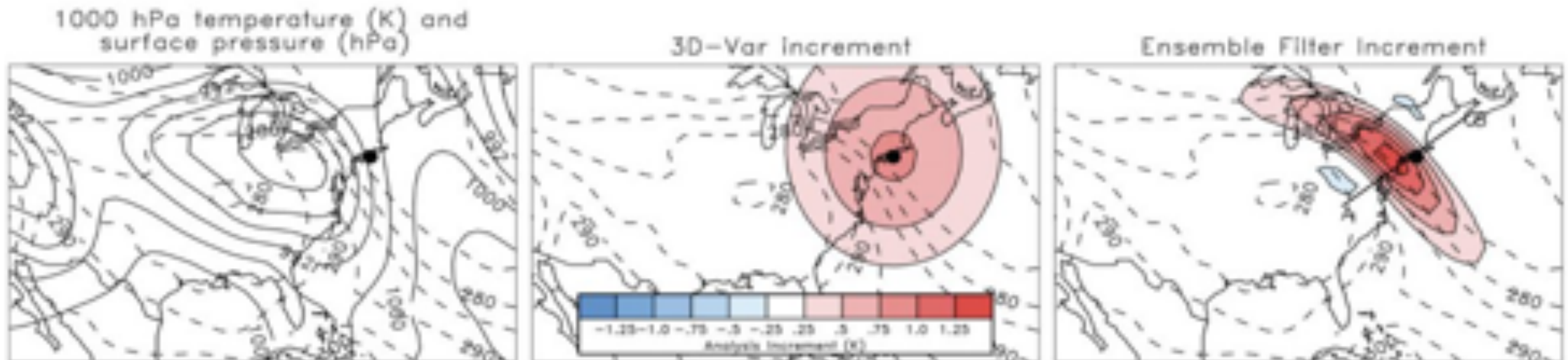


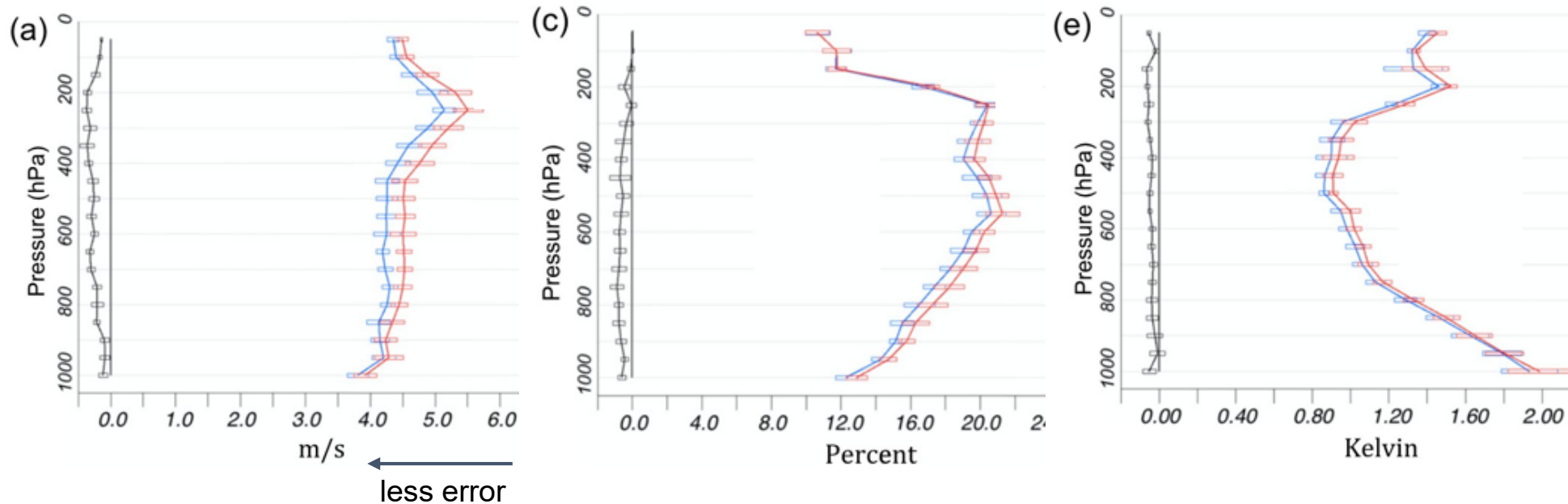
Figure from Tom Hamil



# 3D Ensemble-Variational Data Assimilation

- 3DEnVar is very successful for regional hourly data assimilation in RAP/HRRR and has superior skill over purely a 3D-variational analysis (shown below).
- Ensemble background error covariance data from the global system, GDAS, is effectively used with static errors in the RAP 3DEnVar.

6-hour Forecast RMS Wind, Relative Humidity, Temperature



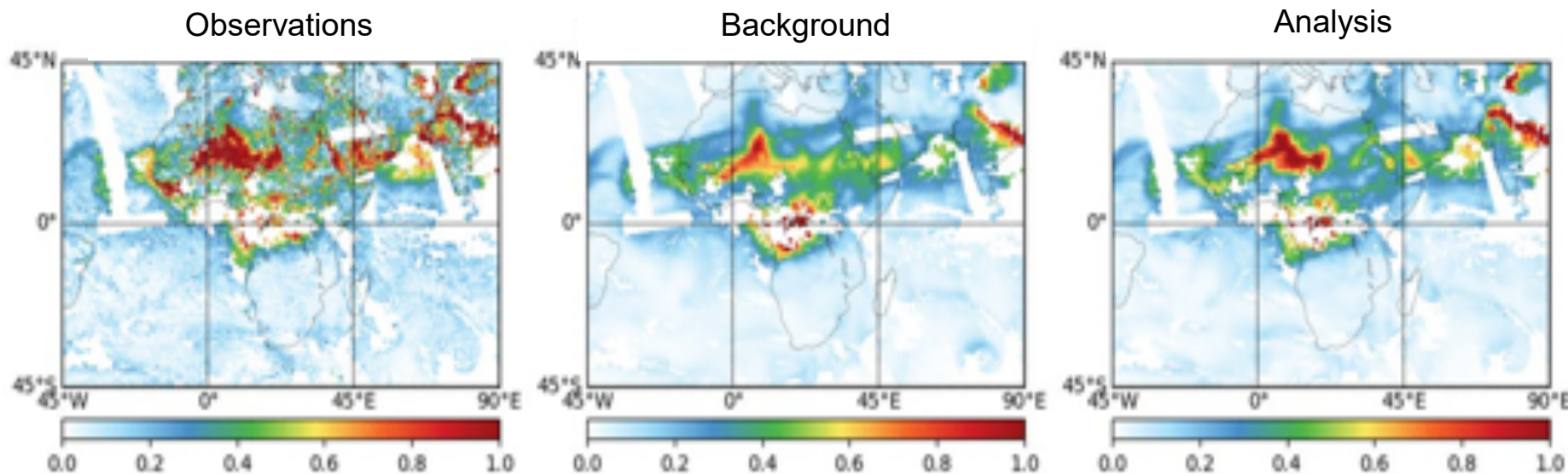
3DVar  
3DEnVar  
Difference

Hu, M., et al., 2017.

# Assimilation System for Aerosol Analysis and Forecasting

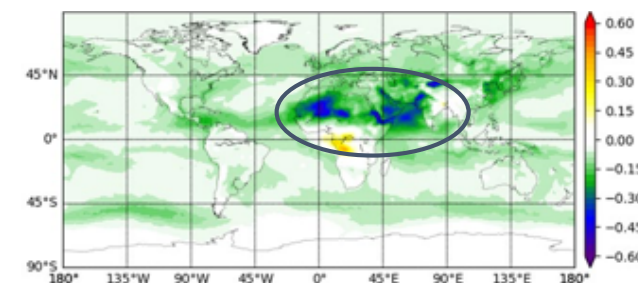
- Satellite based observations of Aerosol Optical Depth (AOD) at 550 nm are assimilated with JEDI software and enable aerosol prediction.
- High AOD indicates large aerosol concentrations that can impact radiation, cloud and rain formation, and air pollution.

Assimilating VIIRS AOD Retrievals in Action:  
Dust Storm over North Africa June 15-25, 2016

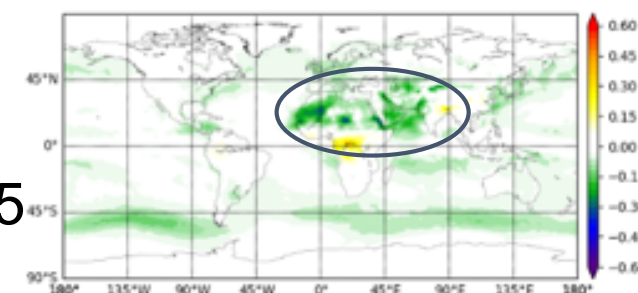


Evaluation Statistics  
June 2016

Free Forecast Bias



Reanalysis Bias

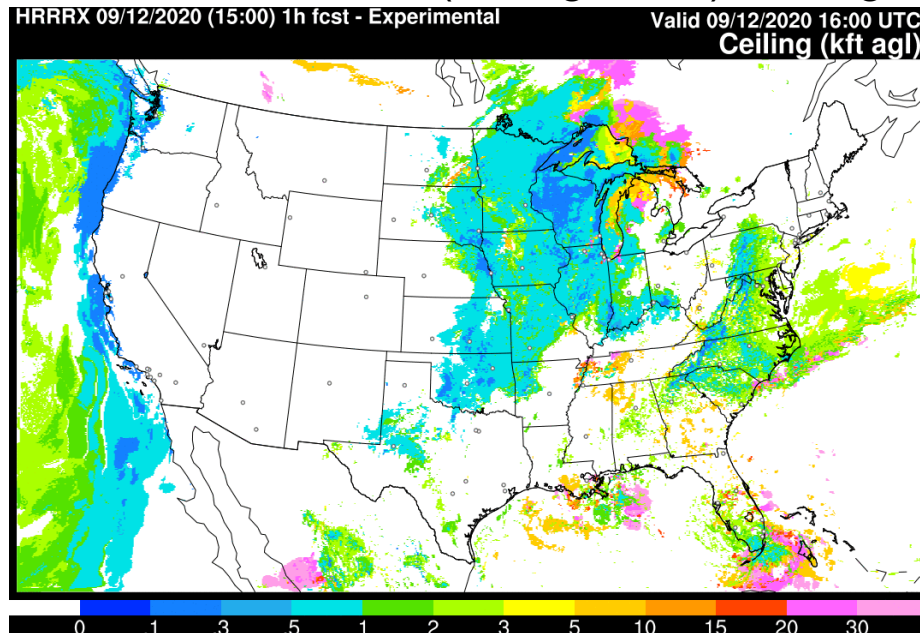


- Regional variational assimilation (GSI based) of hourly in-situ PM<sub>2.5</sub> measurements and AOD for RRFS-CMAQ is under development.

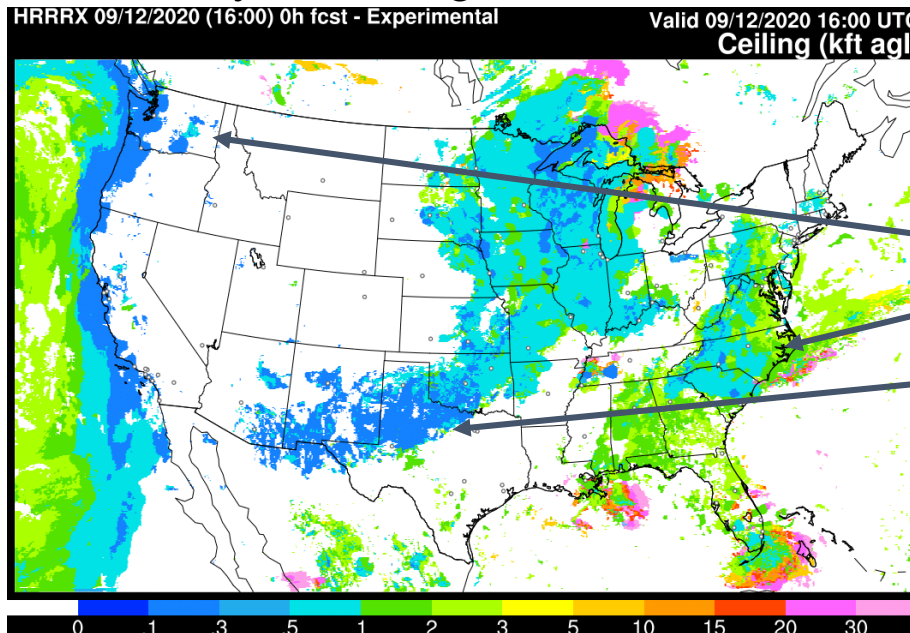
# Stratiform Cloud-Hydrometeor Assimilation

- Accurate cloud and precipitation initialization is fundamental to short-range prediction systems such as RAP & HRRR.
- The stratiform cloud-hydrometeor assimilation improves retention of observed cloudy and clear 3D volumes in subsequent model forecasts.
- Cloud ceiling and visibility forecasts have more skill due to the cloud assimilation.

1-Hour Forecast (Background) Ceiling



Analysis Ceiling



Analysis captures low ceilings in several locations.

Benjamin et al. 2021.



# Latent-Heating Based Precipitation Assimilation

- Assimilation of precipitation related observations, especially for convection is essential to the success of HRRR/RAP short-term forecasts.
- Radar reflectivity observations, as well as lightning (flash centroid density), are mapped to latent heating temperature tendencies that are applied to the model physics to force convection and lack of convection.

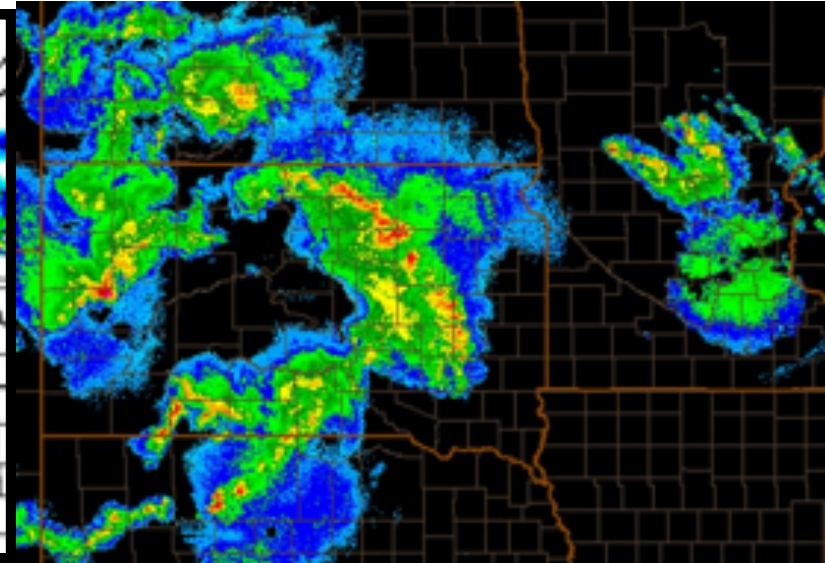
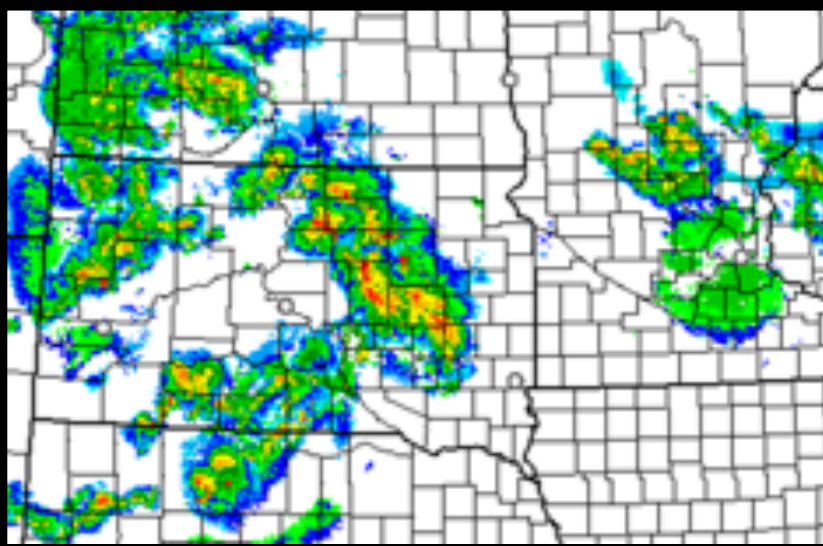
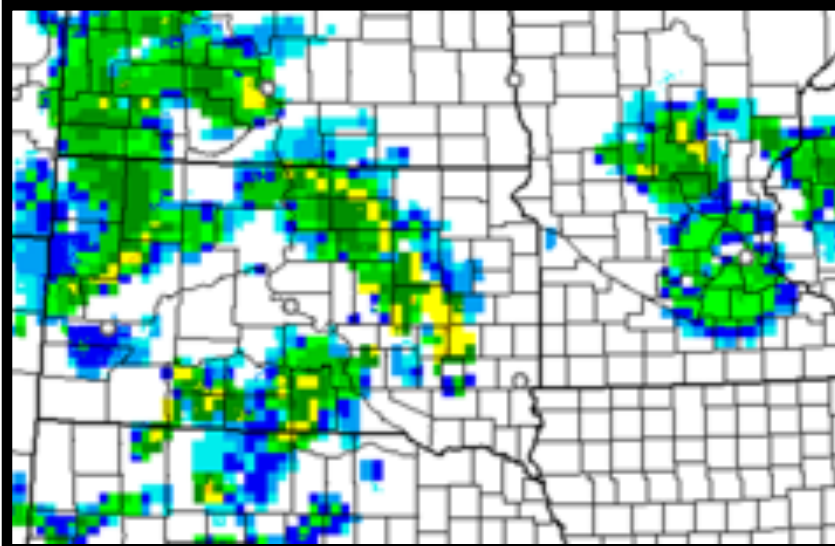
Weygandt et al.  
Expected in 2022

## Reflectivity Analysis

Without Latent-Heating

With Latent Heating

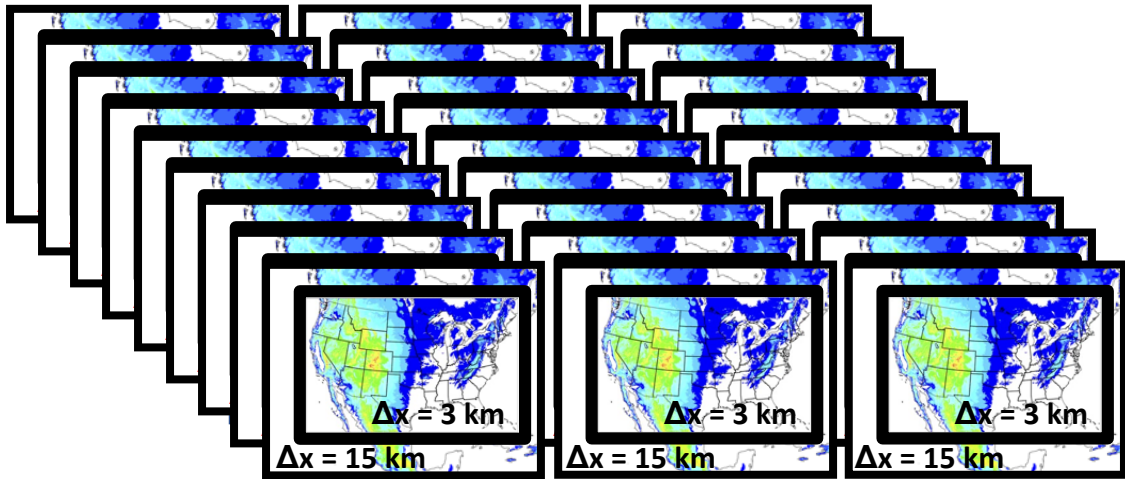
Observations



# Convective-Scale Ensemble Based Assimilation

- Ensemble covariances provide temporal and flow dependent information, which is especially important near small scale nonlinear phenomena.
- Convective-scale ensemble assimilation has improved initial conditions for the HRRR and is a foundation for future implementations.

## HRRR-Data Assimilation System (HRRRDAS)



Recommendation C4.2

operational

### Deterministic HRRRv4

- Initial conditions
- Background Error Covariances

research

### HRRR-Ensemble (HRRRE)

- Storm-Scale Ensemble Forecasts

### Three-Dimensional Real-Time Mesoscale Analysis (RTMA-3D)

- Background Error Covariances
- Storm-Scale Analysis Uncertainty

### Warn-On-Forecast (WoF) System

- Initial Conditions
- Boundary Conditions

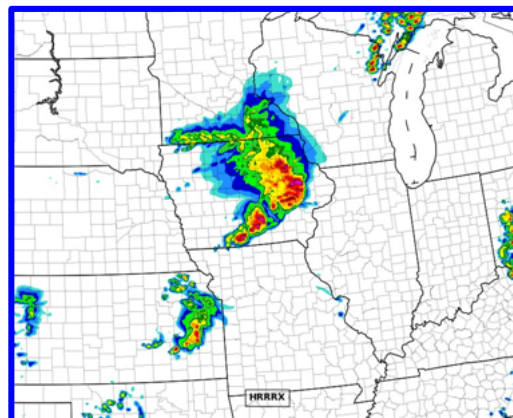
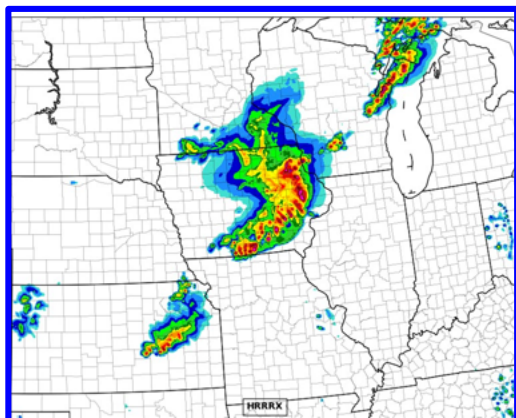
# HRRRDAS Initial Conditions Improves HRRRv4

## Case Study 18 UTC 10 August 2020

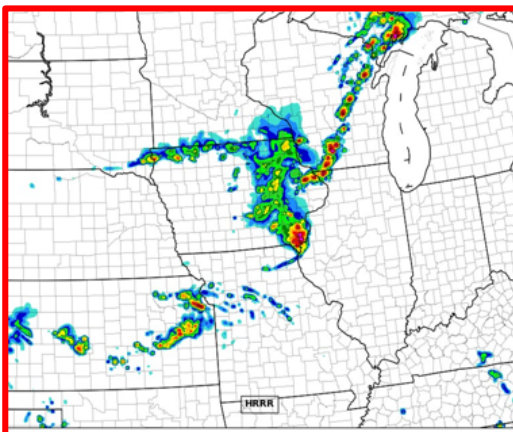
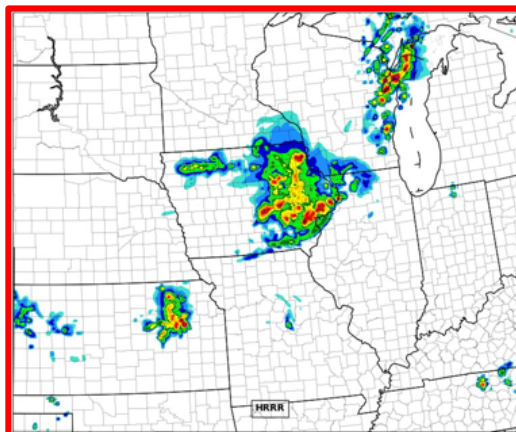
15 hour forecast

13 hour forecast

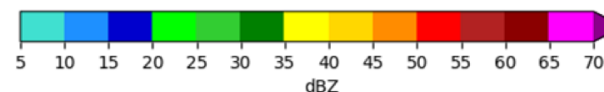
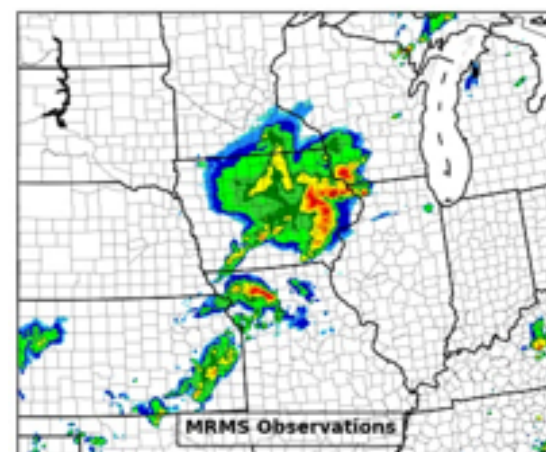
HRRRv4  
w/HRRRDAS



HRRRv3



Radar observations



- Challenging forecast of very damaging derecho
- HRRRv4 consistently shows a more organized and accurate convective system due to improved initial conditions from HRRRDAS.

- HRRRDAS uses hourly assimilation cycles to incorporate both conventional and radar observations and the ensemble mean provides convective scale motions and thermodynamics to HRRRv4.



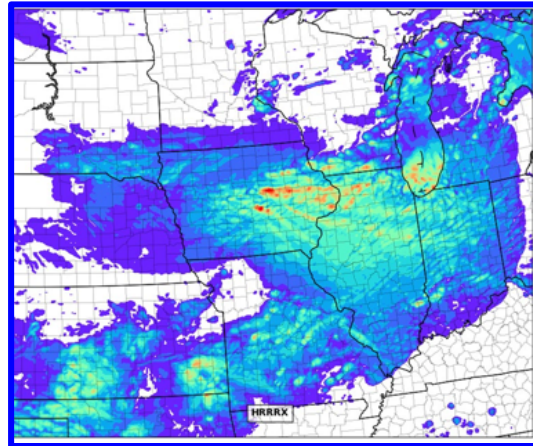
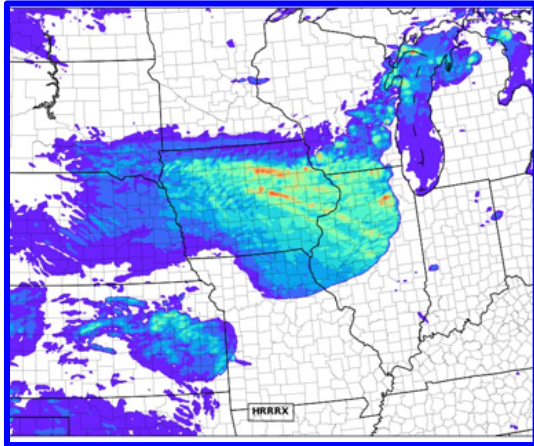
# HRRRDAS Initial Conditions Improves HRRRv4

10m Max Wind Speed 10-11 August 2020

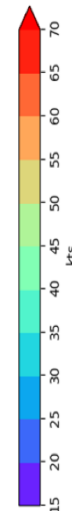
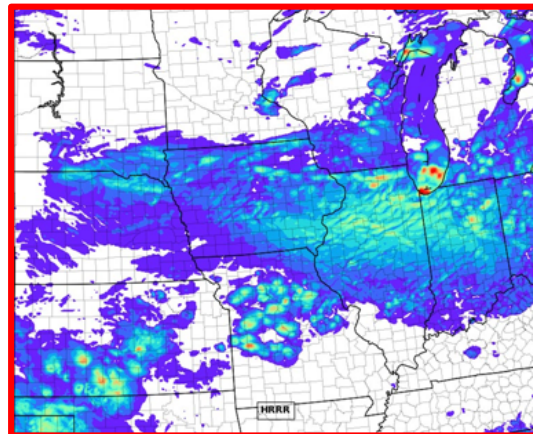
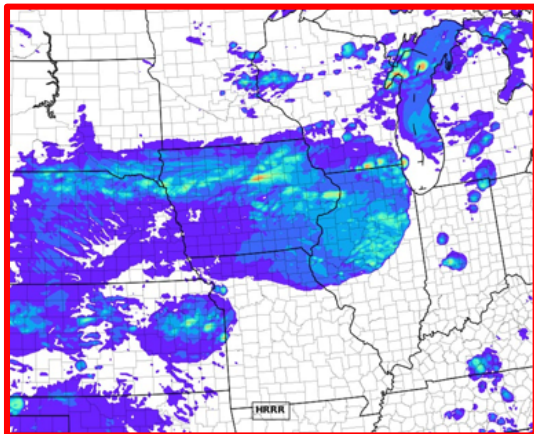
18 hour Forecasts  
Valid at 21 UTC

18 hour Forecasts  
Valid at 3 UTC

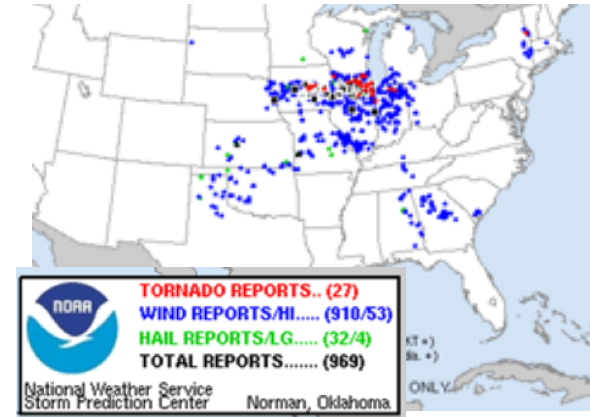
HRRRv4  
w/HRRRDAS



HRRRv3



SPC Storm Reports

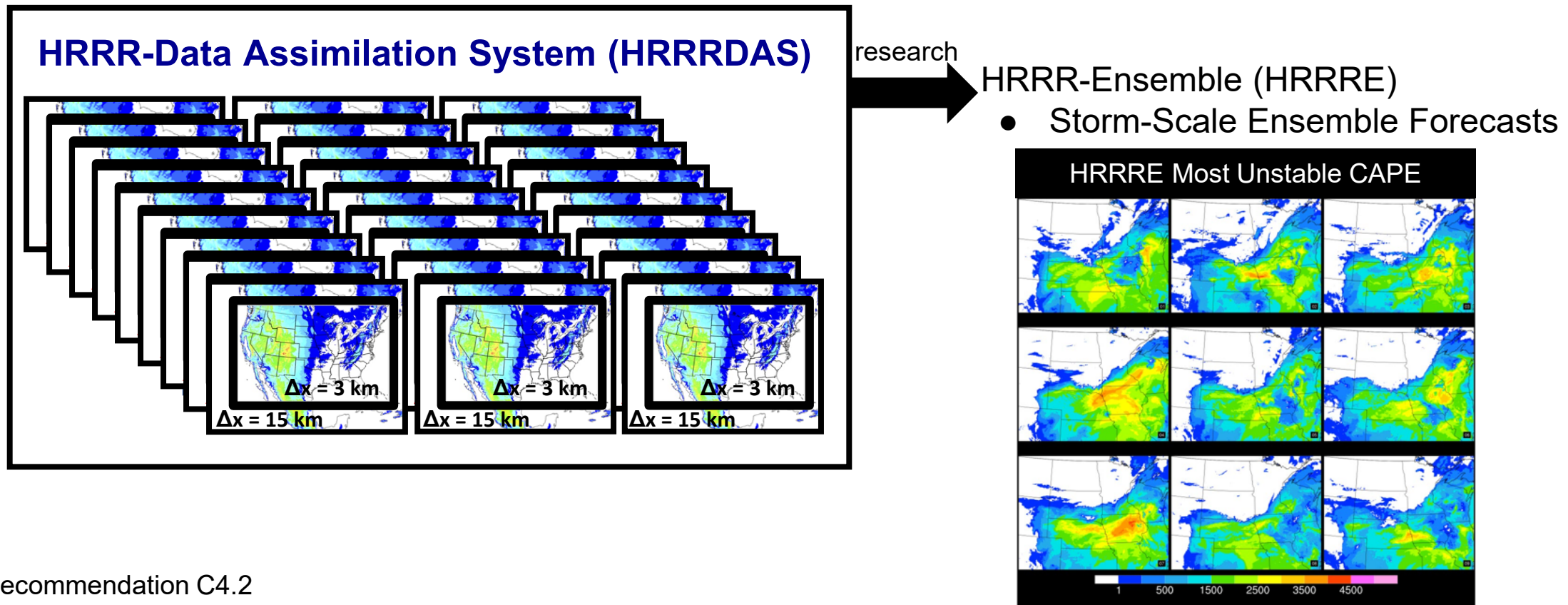


The HRRRv4 wind solutions correctly have:

- stronger overall winds and more coverage further east
- stronger winds starting earlier in the system's life over central Iowa
- narrow corridors of very intense winds over eastern Iowa

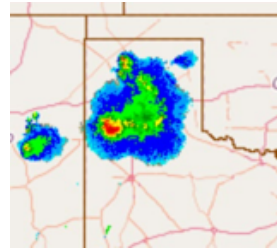
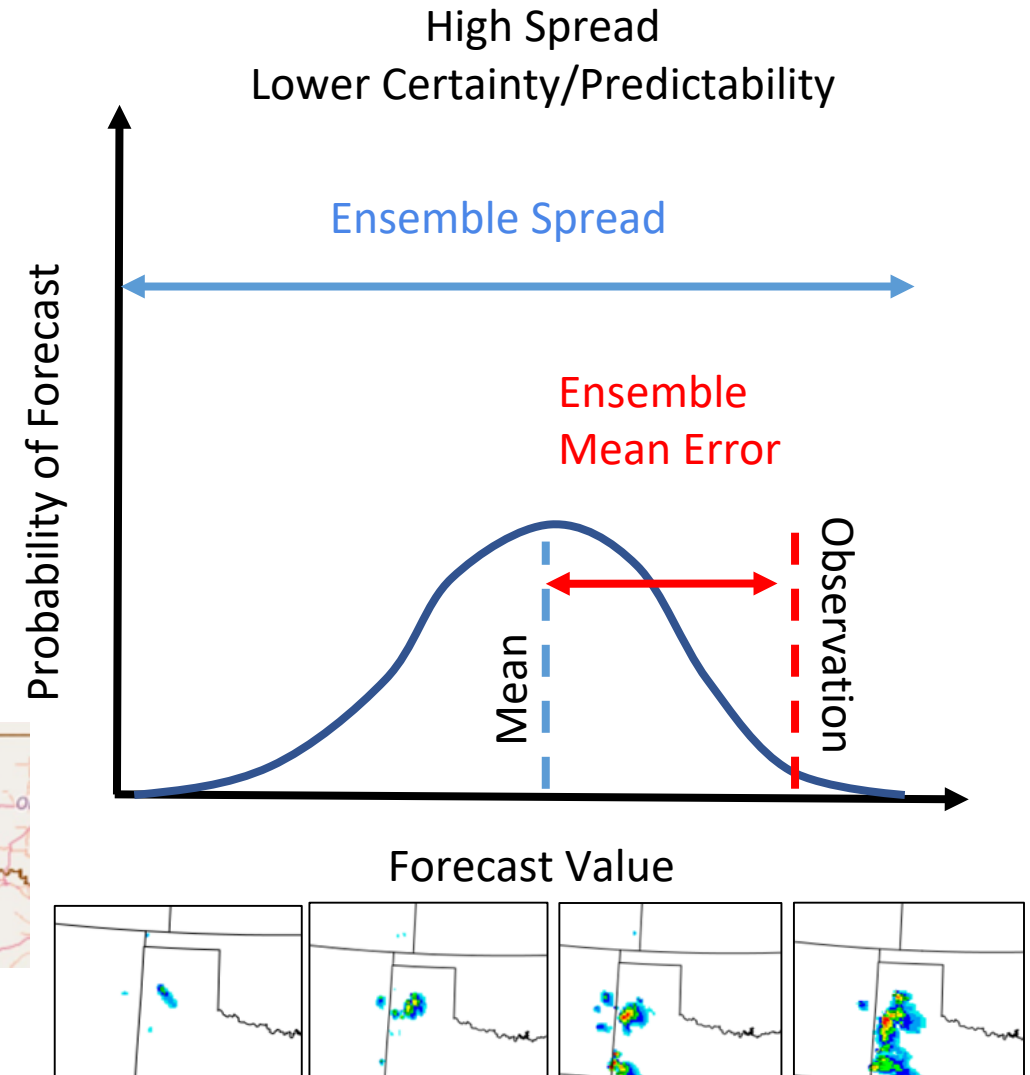
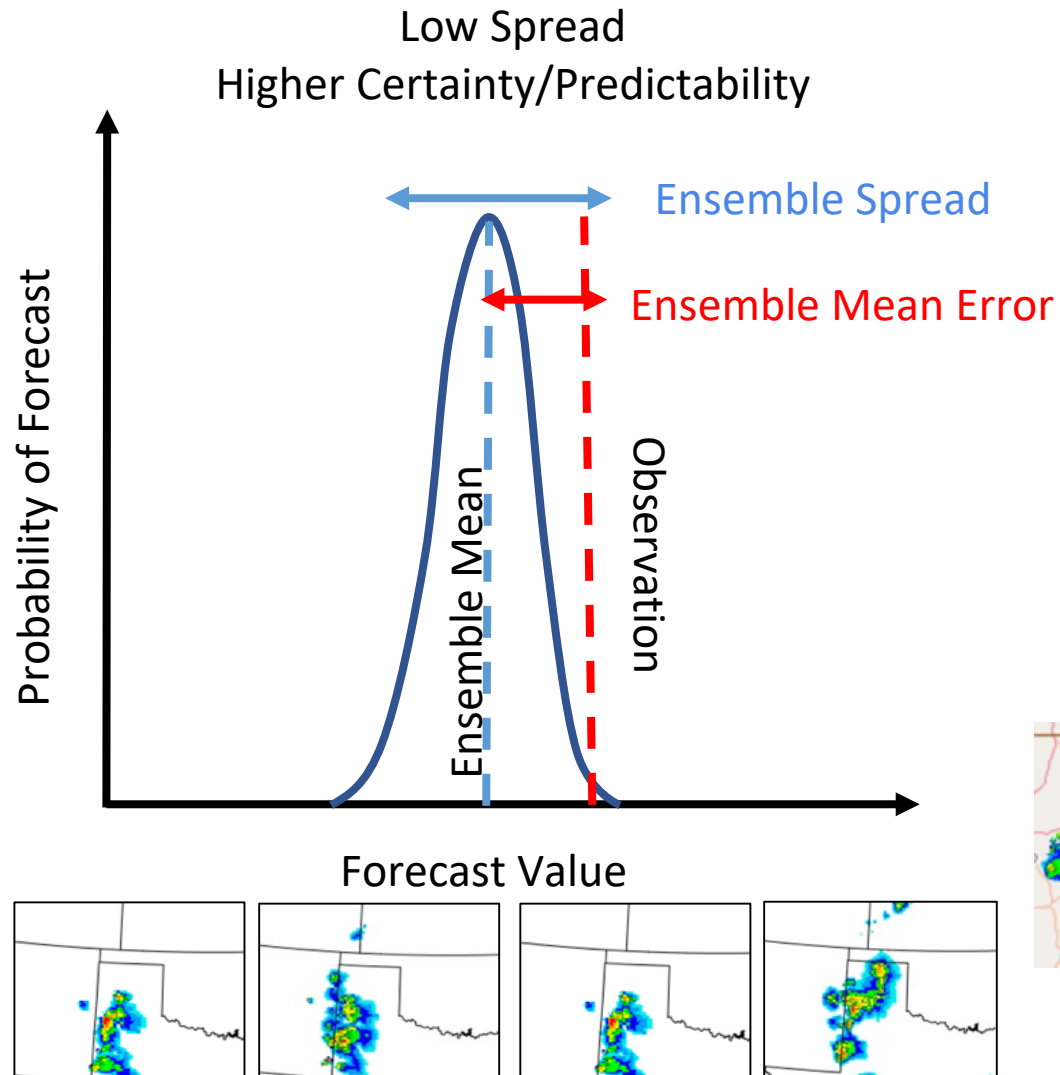
# Ensemble Forecast Challenge: Spread vs Error

Ensemble forecasts can provide the range of possibilities that might occur and a measure of uncertainty in a forecast.



Recommendation C4.2

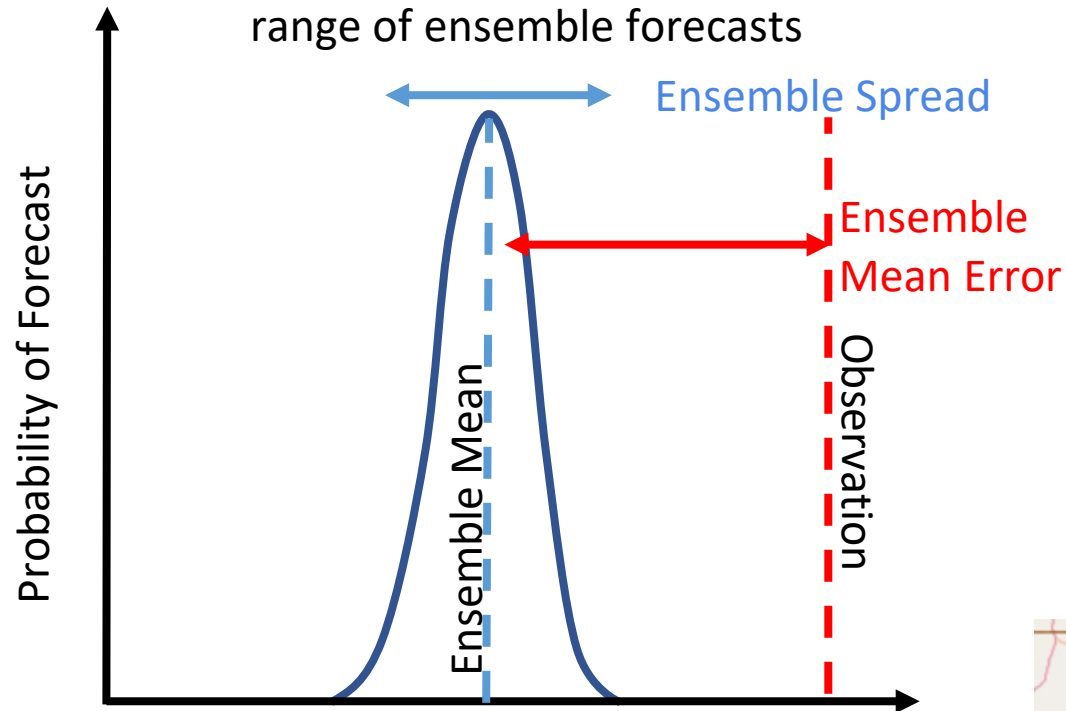
# Ensemble Forecast Challenge: Spread vs Error



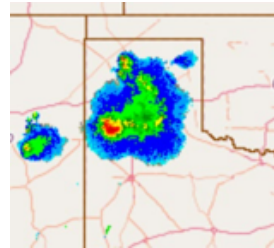
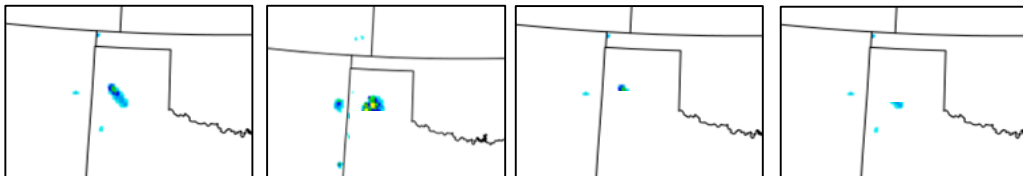
# Ensemble Forecast Challenge: Spread vs Error

## Underdispersive:

Observations frequently fall outside range of ensemble forecasts

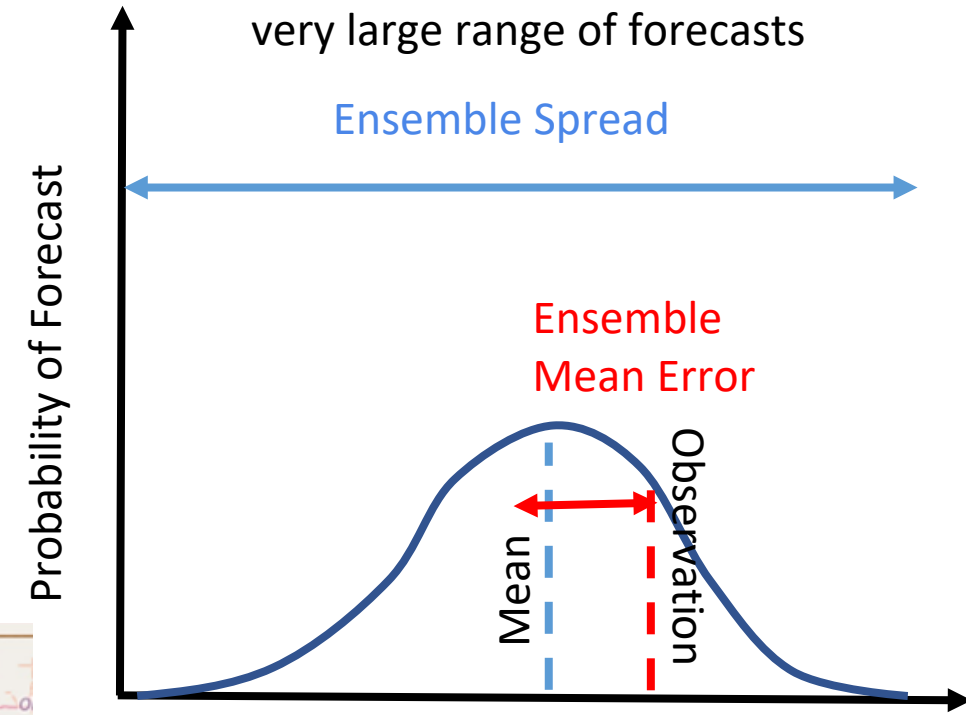


Forecast Value

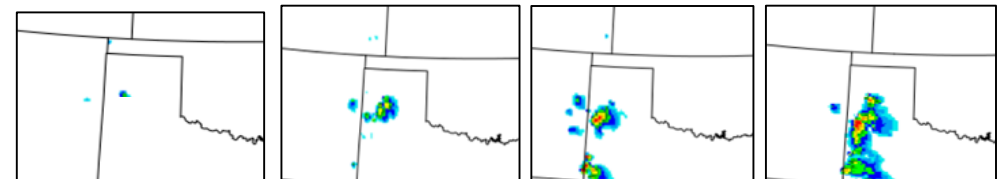


## Overdispersive:

Ensemble frequently forecasts a very large range of forecasts



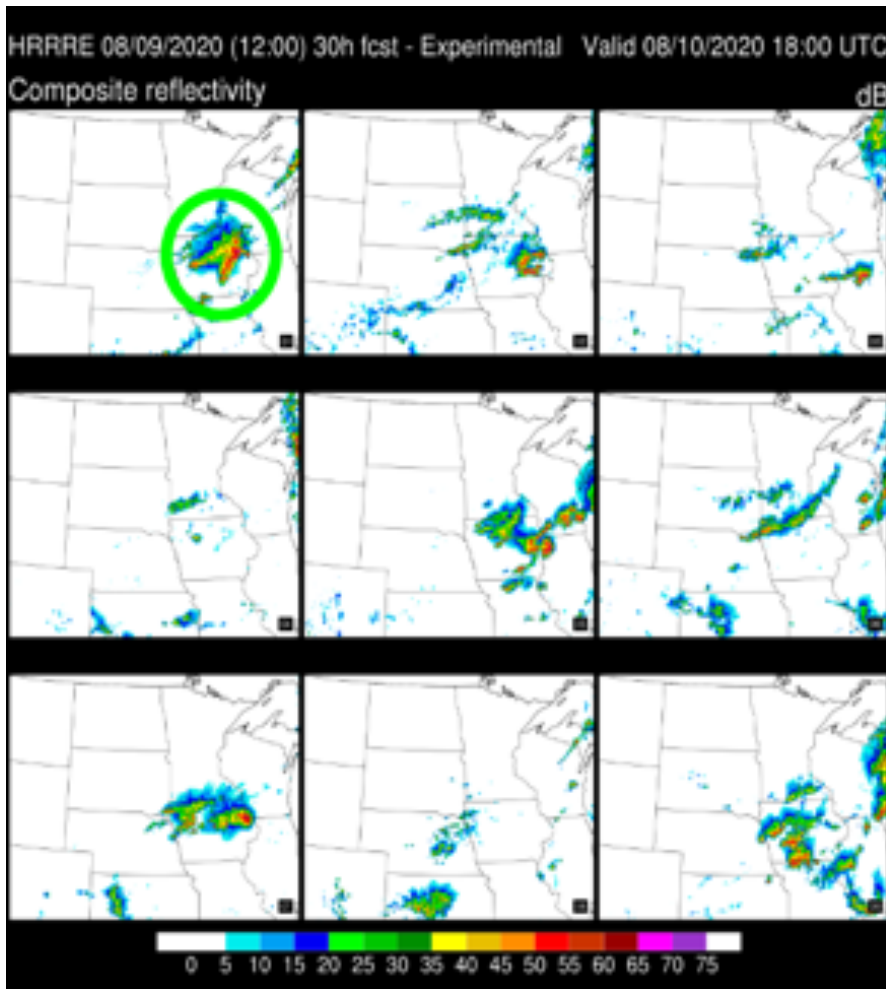
Forecast Value



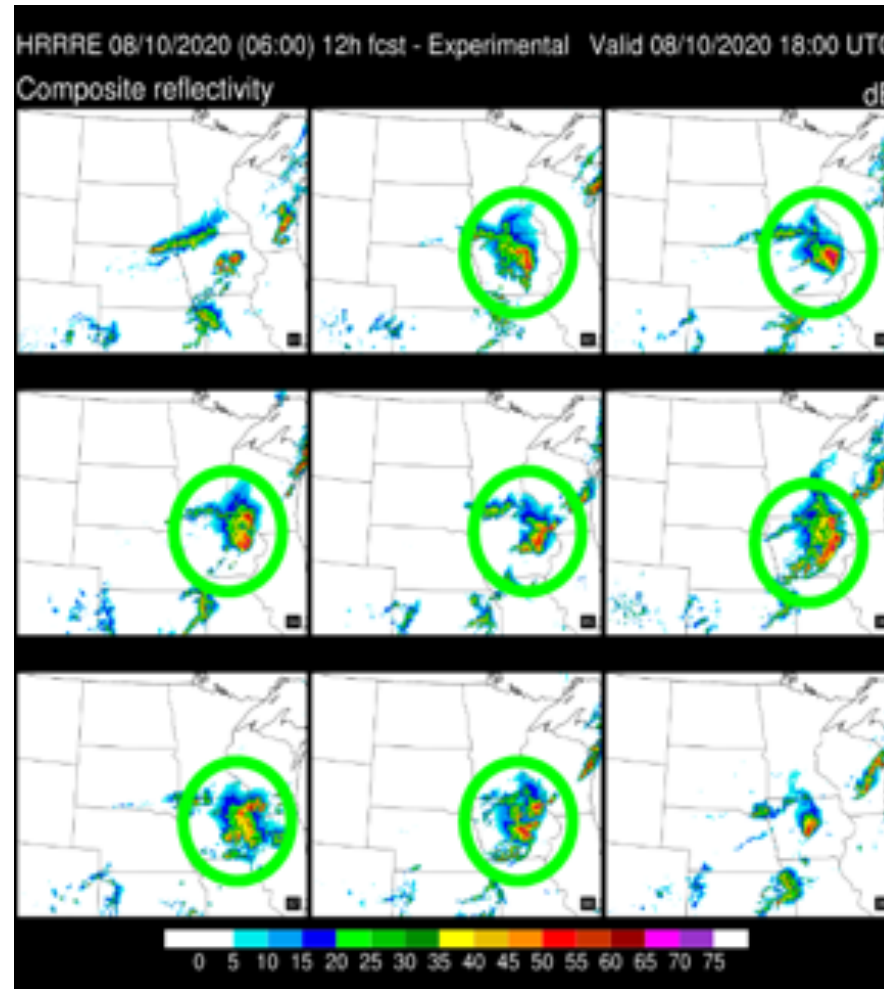


# Ensemble DA & Forecasting $\Rightarrow$ Better Probabilities

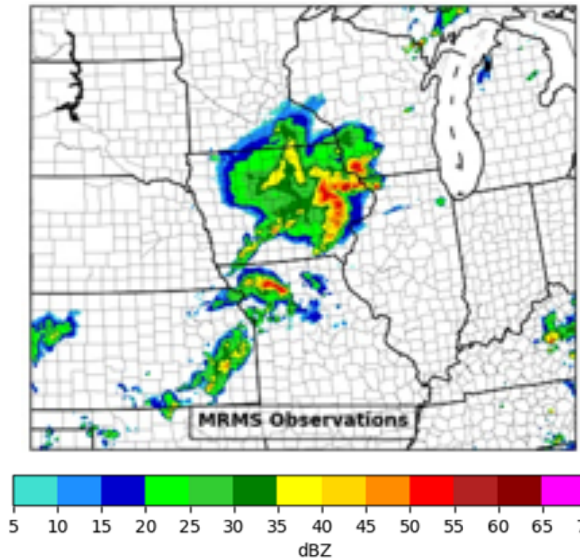
30-h lead-time: get 1 hit



12-h lead-time: 7 of 9 hits



Radar observations



- Longer forecasts show low likelihood for a large impact event
- Shorter forecasts decreased spread, increased confidence
- HRRRE includes stochastic physics to create spread

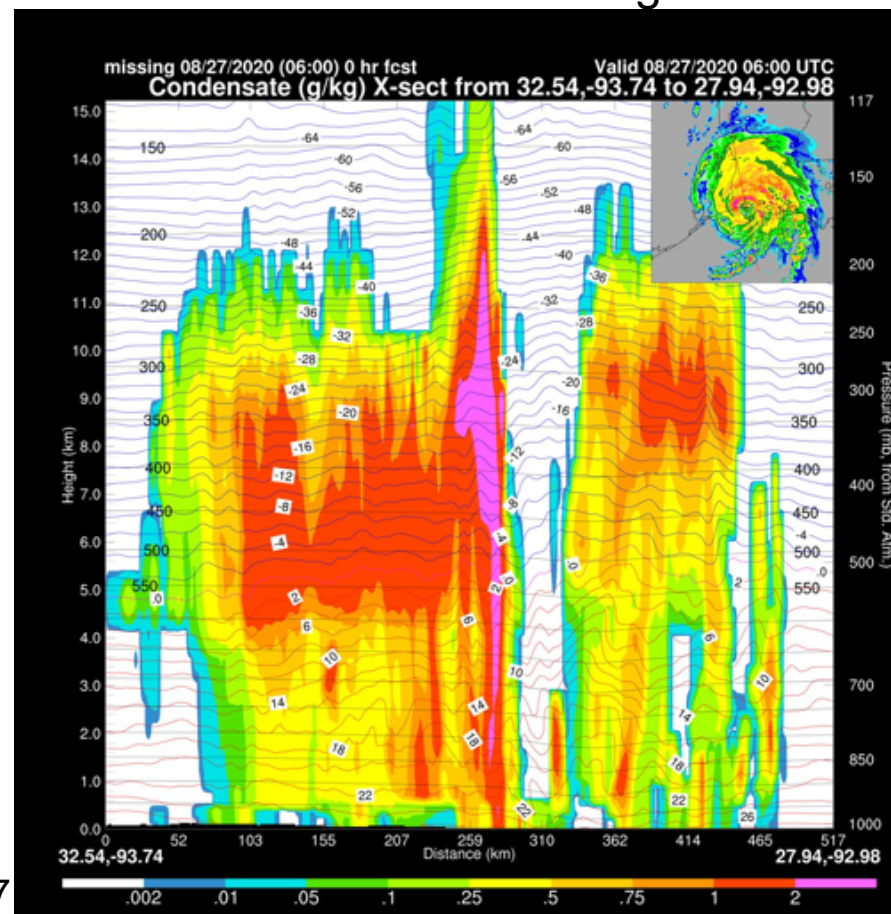
# NOAA Unified Analysis: RTMA-3D Nowcast/Analysis of Record

GOAL: Record the best estimate of the convective-scale Earth system state.

- Collaborative development with EMC (JTTI & UFS R2O)
- RTMA-3D is not constrained by the need to initialize a forecast (close fit to obs; model behavior not considered)
- RTMA-3D provides 15 min gridded analysis of 3D atmospheric fields with application in multiple areas:
  - General analysis and reanalysis applications
  - Severe weather; targeting replacement of Mesoanalysis System (SPC)
  - Aviation parameters; targeting 3D cloud coverage/ceiling grids (AWC)
  - Hydrodynamical modeling input and water in all forms - snow cover, soil moisture, lake forecasts, heavy rainfall
  - Model verification 'truth' dataset
- Operational implementation planned for 2024

Recommendation C4.7

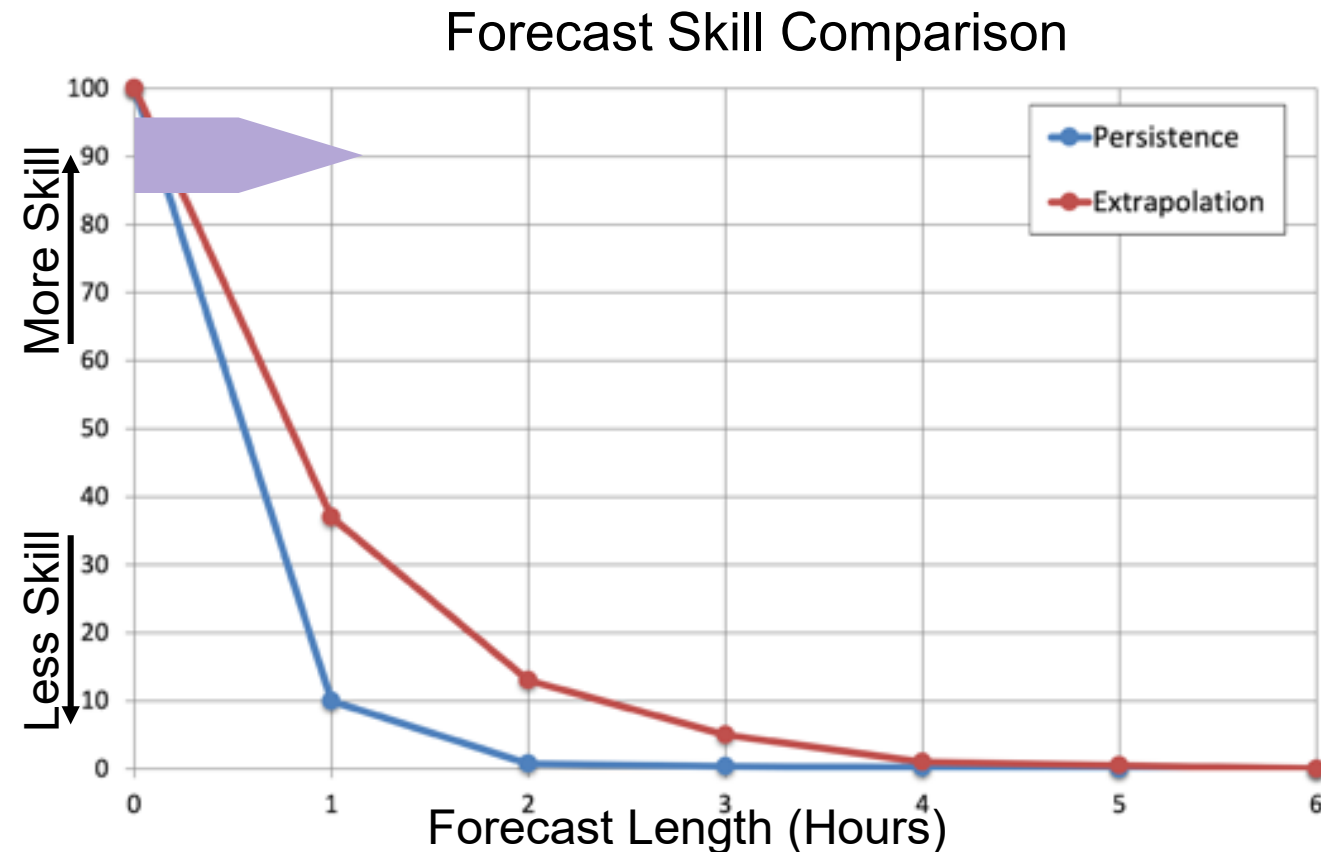
Experimental RTMA-3D during Hurricane Laura 27 Aug 2020



# Summary of Assimilation Systems Impacts

## GSL is a World Leader in Rapidly Updating DA

- The RTMA-3D analysis system fits obs very closely and is valuable for nowcasting.

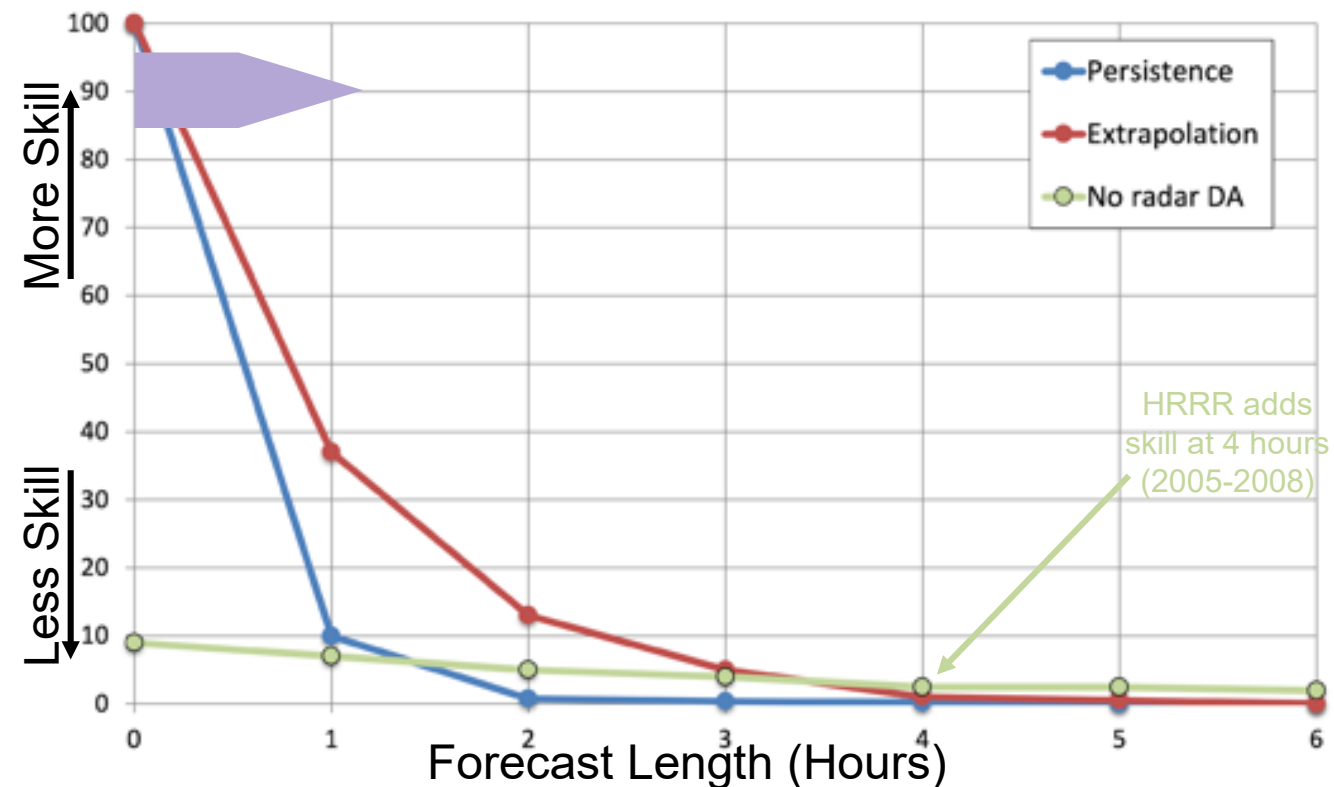


# Summary of Assimilation Systems Impacts

## GSL is a World Leader in Rapidly Updating DA

- The RTMA-3D analysis system fits obs very closely and is valuable for nowcasting.
- Convective-scale forecasts lead to useful predictions.

Forecast Skill Comparison



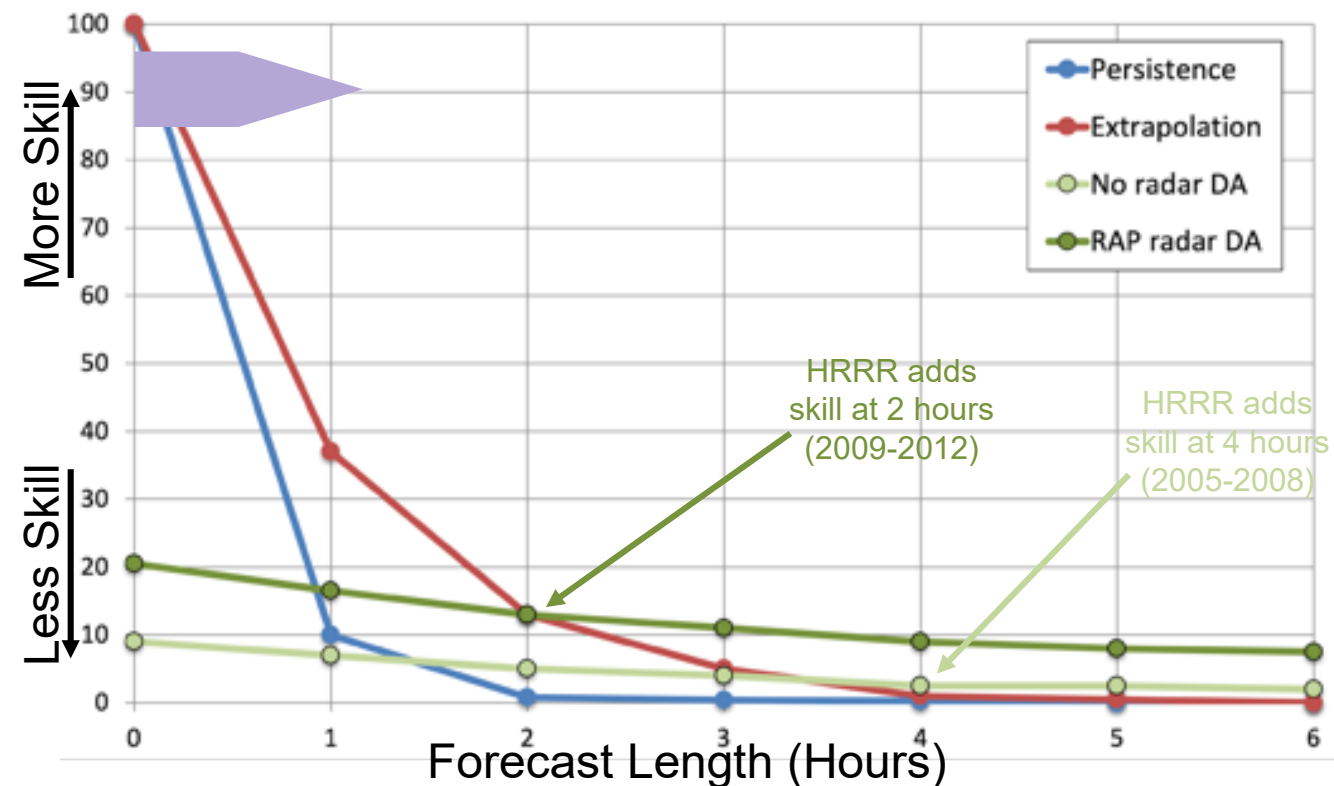


# Summary of Assimilation Systems Impacts

## GSL is a World Leader in Rapidly Updating DA

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- Convective-scale forecasts lead to useful predictions.
- Improved mesoscale initial conditions push forecast value to shorter time scales.

Forecast Skill Comparison

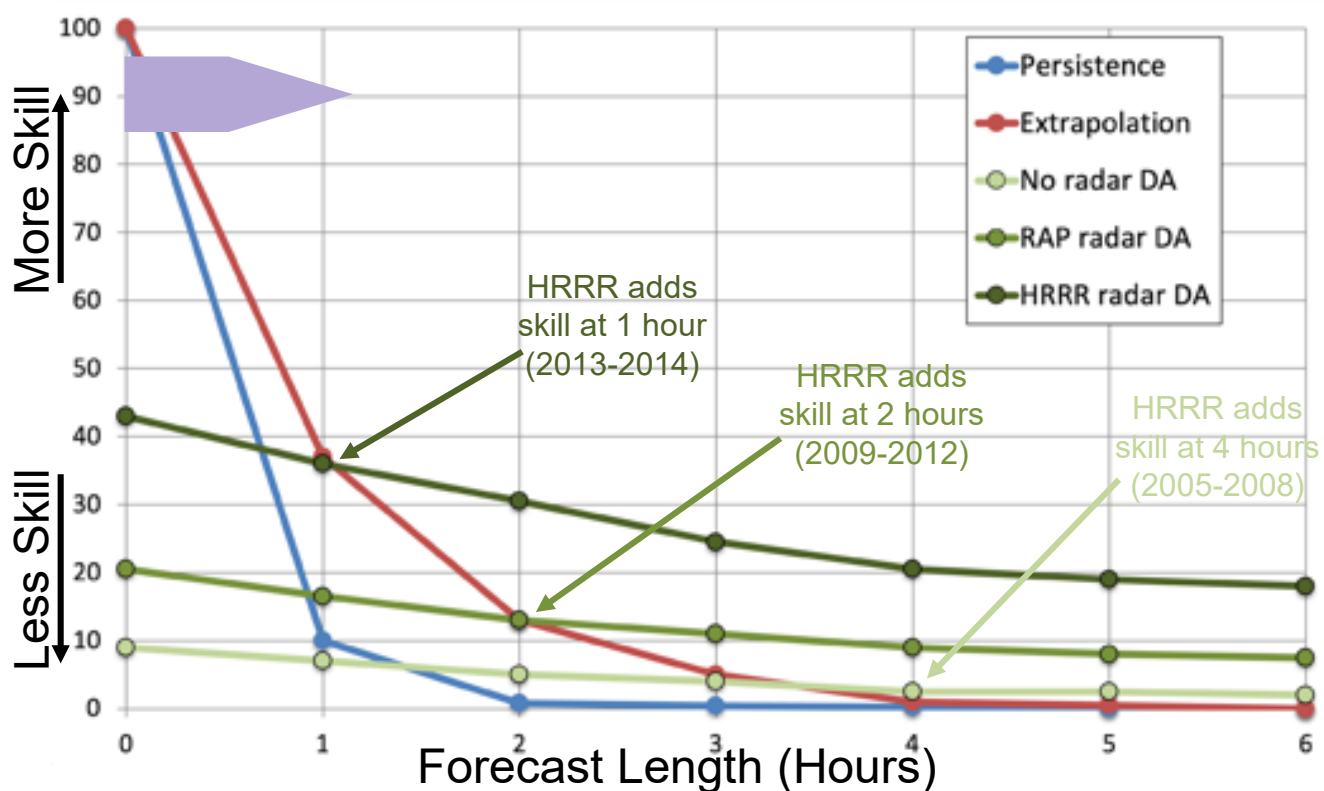


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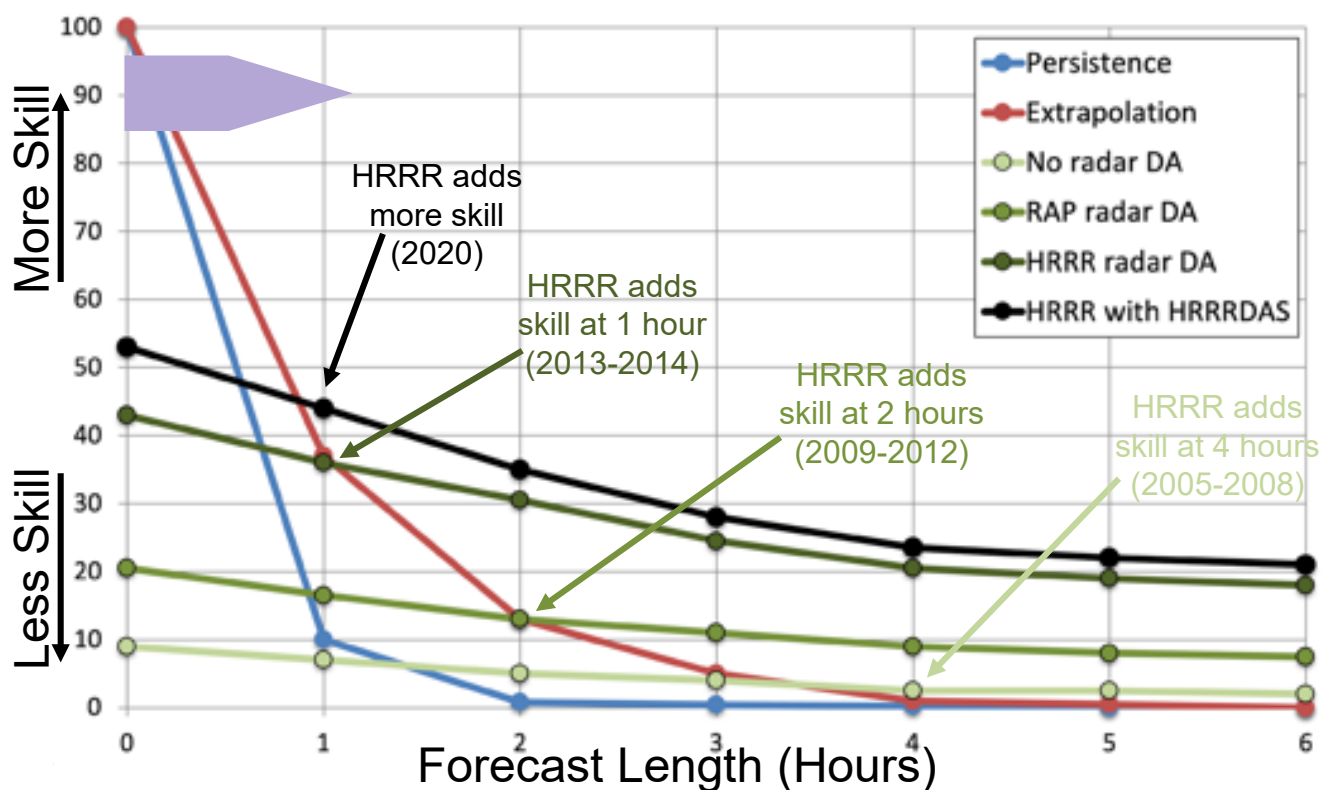


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- Convective-scale ensemble with direct reflectivity assimilation provides initial conditions that increase forecast skill across lead-times.

Forecast Skill Comparison



NOAA Global Systems Laboratory

# Earth System Prediction: Summary of Observation Use and Analysis Development for Improved Forecasts





# Making Forecasts Better with Data Assimilation Advances

- **Development of analysis systems has advanced forecast skill**
  - Built on the success of hybrid variational/ensemble approaches and specification techniques
  - Transitioning towards use of only advanced techniques for increased observation information retention
  - Expanding ensemble assimilation and forecast development for future success
- **Extensive experience with conventional, targeted and novel observations**
  - Applying observations to model data assimilation and verification applications
  - Documenting relative impacts on predictive skill
  - Using various sensitivity techniques (OSEs, FSOI)
- **World Leader in R&D of high-resolution rapidly updating models**
  - Working with many collaborators to improve skill, accumulating innovations for many stakeholders, and finishing with operational transitions

# Thank you!

